Scaling—a Plenitude of Power Laws

Principles of Complex Systems
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Systems (complex or not) that cross many spatial and temporal scales often exhibit some form of scaling.

Outline—All about scaling:

- Definitions.
- ► Examples.
- How to measure your power-law relationship.
- Scaling in metabolism and river networks.
- ▶ The Unsolved Allometry Theoricides.

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A power law relates two variables x and y as follows:

$$y = cx^{\alpha}$$

- $ightharpoonup \alpha$ is the scaling exponent (or just exponent)
- (α can be any number in principle but we will find various restrictions.)
- c is the prefactor (which can be important!)

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Definitions

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- ▶ The prefactor *c* must balance dimensions.
- ▶ Imagine the height ℓ and volume ν of a family of shapes are related as:

$$\ell = cv^{1/4}$$

▶ Using [·] to indicate dimension, then

$$[c] = [I]/[V^{1/4}] = L/L^{3/4} = L^{1/4}$$

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Power-law relationships are linear in log-log space:

$$y = cx^{\alpha}$$

$$\Rightarrow \log_b y = \alpha \log_b x + \log_b c$$

with slope equal to α , the scaling exponent.

- Much searching for straight lines on log-log or double-logarithmic plots.
- ► Good practice: Always, always, always use base 10.
- ► Talk only about orders of magnitude (powers of 10).

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Looking at data

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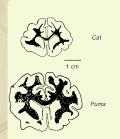
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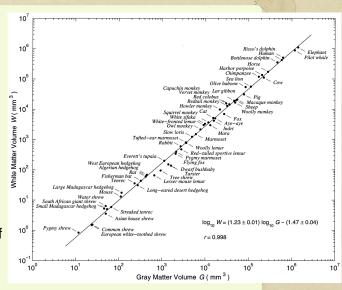




A beautiful, heart-warming example:



- ► *G* = volume of gray matter: 'computing elements'
- W = volume of white matter: 'wiring'
- ► $W \sim cG^{1.23}$



▶ from Zhang & Sejnowski, PNAS (2000) [54]

Quantities (following Zhang and Sejnowski):

- ► *G* = Volume of gray matter (cortex/processors)
- ► *W* = Volume of white matter (wiring)
- ► *T* = Cortical thickness (wiring)
- \triangleright S = Cortical surface area
- ► *L* = Average length of white matter fibers
- \triangleright p = density of axons on white matter/cortex interface

A rough understanding:

- ▶ G ~ ST (convolutions are okay)
- $\sim W \sim \frac{1}{2} pSL$
- \triangleright $G \sim L^3$
- ▶ Eliminate S and L to find $W \propto G^{4/3}/T$

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A rough understanding:

- ▶ G ~ ST (convolutions are okay)
- $W \sim \frac{1}{2}pSL$
- ▶ $G \sim L^3 \leftarrow$ this is a little sketchy...
- ▶ Eliminate S and L to find $W \propto G^{4/3}/T$

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- We are here: $W \propto G^{4/3}/T$
- ▶ Observe weak scaling $T \propto G^{0.10\pm0.02}$
- ▶ (Implies $S \propto G^{0.9} \rightarrow$ convolutions fill space.)
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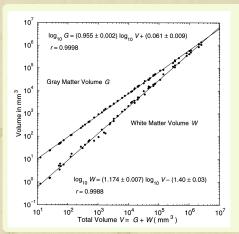
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- ▶ With V = G + W, some power laws must be approximations.
- ▶ Measuring exponents is a hairy business...

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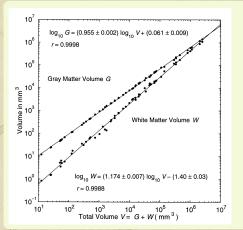
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Trickiness:



- ▶ With V = G + W, some power laws must be approximations.
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General rules of thumb:

- ► High quality: scaling persists over three or more orders of magnitude for each variable.
- Medium quality: scaling persists over three or more orders of magnitude for only one variable and at least one for the other.
- Very dubious: scaling 'persists' over less than an order of magnitude for both variables.

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Good scaling:

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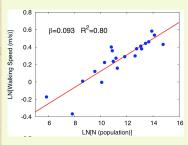
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Unconvincing scaling:

Average walking speed as a function of city population:



Two problems:

- 1. use of natural log, and
- minute varation in dependent variable.

from Bettencourt et al. (2007)^[4]; otherwise very interesting—see later.

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Power laws are the signature of scale invariance:

Scale invariant 'objects' look the 'same' when they are appropriately rescaled.

- ► Objects = geometric shapes, time series, functions, relationships, distributions,...
- ► 'Same' might be 'statistically the same'
- To rescale means to change the units of measurement for the relevant variables

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Our friend $y = cx^{\alpha}$:

- If we rescale x as x = rx' and y as $y = r^{\alpha}y'$,
- ► then

$$r^{\alpha}y'=c(rx')^{\alpha}$$

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$$\Rightarrow y' = cr^{\alpha}x'^{\alpha}r^{-\alpha}$$

▶

$$\Rightarrow y' = cx'^{\alpha}$$

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Scale invariance

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$$\Rightarrow$$
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▶ If we rescale x as x = rx', then

$$y = ce^{-\lambda rx'}$$

- Original form cannot be recovered.
- Scale matters for the exponential.

More on $y = ce^{-\lambda x}$:

- ▶ Say $x_0 = 1/\lambda$ is the characteristic scale.
- For $x \gg x_0$, y is small, while for $x \ll x_0$, y is large.

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Isometry:



Dimensions scale linearly with each other.

Allometry:



Dimensions scale nonlinearly.

Allometry: (⊞)

- Refers to differential growth rates of the parts of a living organism's body part or process.
- ► First proposed by Huxley and Teissier, Nature, 1936 "Terminology of relative growth" [23, 45]

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- Iso-metry = 'same measure'
- Allo-metry = 'other measure'

Confusingly, we use allometric scaling to refer to both:

- 1. Nonlinear scaling of a dependent variable on an independent one (e.g., $y \propto x^{1/3}$)
- 2. The relative scaling of correlated measures (e.g., white and gray matter).

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conclusion





Outline

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Examples



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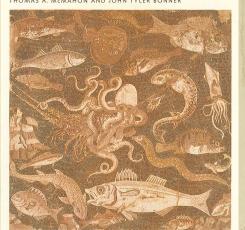




A wonderful treatise on scaling:

ON SIZE AND LIFE

THOMAS A MCMAHON AND JOHN TYLER BONNER



McMahon and Bonner, 1983^[31]



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Examples



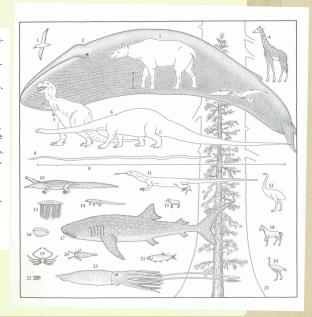




The many scales of life:

The biggest living things (left). All the organisms are drawn to the same scale. 1, The largest flying bird (albatross); 2, the largest known animal (the blue whale), 3, the largest extinct land mammal (Baluchitherium) with a human figure shown for scale: 4, the tallest living land animal (giraffe); 5, Tvrannosaurus: 6. Diplodocus: 7. one of the largest flying reptiles (Pteranodon); 8, the largest extinct snake: 9, the length of the largest tapeworm found in man; 10, the largest living reptile (West African crocodile); 11, the largest extinct lizard; 12, the largest extinct bird (Aepyornis); 13, the largest jellyfish (Cyanea); 14, the largest living lizard (Komodo dragon); 15, sheep; 16, the largest bivalve mollusc (Tridacna); 17; the largest fish (whale shark); 18, horse; 19, the largest crustacean (Japanese spider crab); 20, the largest sea scorpion (Eurypterid); 21, large tarpon; 22, the largest lobster; 23, the largest mollusc (deep-water squid, Architeuthis); 24, ostrich; 25, the lower 105 feet of the largest organism (giant seguoia), with a 100-foot larch superposed.

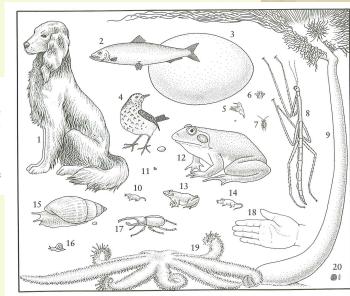
p. 2, McMahon and Bonner^[31]



The many scales of life:

Medium-sized creatures (above). 1, Dog; 2, common herring; 3, the largest egg (Aepyornis); 4, song thrush with egg; 5, the smallest bird (hummingbird) with egg; 6, queen bee; 7, common cockroach; 8, the largest stick insect; 9, the largest polyp (Branchiocerianthus); 10, the smallest mammal (flying shrew); 11, the smallest vertebrate (a tropical frog); 12, the largest frog (goliath frog); 13, common grass frog; 14, house mouse; 15, the largest land snail (Achatina) with egg; 16, common snail; 17, the largest beetle (goliath beetle); 18, human hand; 19, the largest starfish (Luidia); 20, the largest free-moving protozoan (an extinct nummulite).

p. 3, McMahon and Bonner^[31]

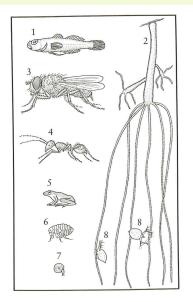


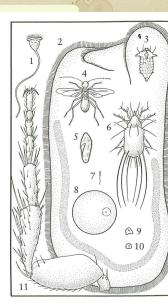
The many scales of life:

Small, "naked-eye" creatures (lower left).
1, One of the smallest fishes (Trimmatom narus); 2, common brown hydra, expanded; 3, housefly; 4, medium-sized ant; 5, the smallest vertebrate a tropical frog, the same as the one numbered 11 in the figure above); 6, flea (Xenopsylla cheopis); 7, the smallest land snail; 8, common water flea (Daphnia).

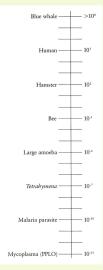
The smallest "naked-eye" creatures and some large microscopic animals and cells thelow righth. 7, Vorticella, a ciliate; 2, the largest cliate protocoan (Bursaria), 3, the smallest things insect (Elaphis); 5, another ciliate (Paramecum); 6, cheese mite; 7, human sperm, 8, human ourn; 9, dysentery amoeba; 10, human liver cell; 117, the create of the first control of the control o

3, McMahon and Bonner^[31]

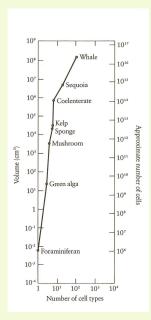




Size range (in grams) and cell differentiation:



10⁻¹³ to 10⁸, p. 3, McMahon and Bonner^[31]



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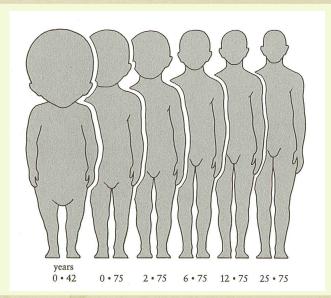
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Non-uniform growth:



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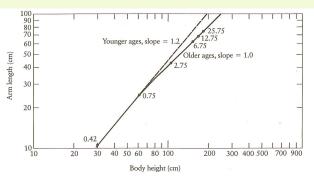


p. 32, McMahon and Bonner [31]

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Non-uniform growth—arm length versus height:

Good example of a break in scaling:



A crossover in scaling occurs around a height of 1 metre.

p. 32, McMahon and Bonner [31]

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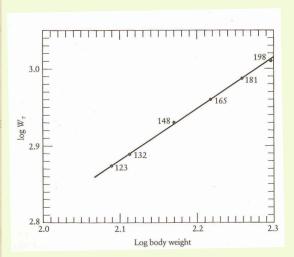
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Weightlifting: $M_{\text{worldrecord}} \propto M_{\text{lifter}}^{2/3}$



Idea: Power \sim cross-sectional area of isometric lifters.

p. 53, McMahon and Bonner [31]

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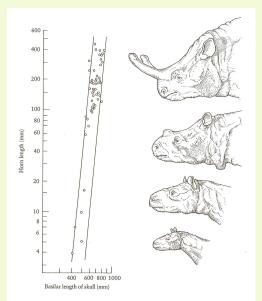
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Titanothere horns: $L_{\rm horn} \sim L_{\rm skull}^4$



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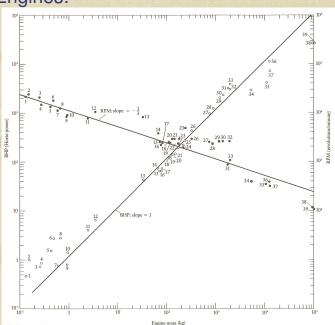
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Engines:



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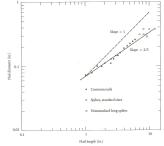




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Observed: Diameter \propto Length^{2/3} or $d \propto \ell^{2/3}$.





Since $\ell d^2 \propto \text{Volume } v$:

- ▶ Diameter \propto Mass^{2/7} or $d \propto v^{2/7}$.
- ▶ Length \propto Mass^{3/7} or $\ell \propto v^{3/7}$.
- ▶ Nails lengthen faster than they broaden (c.f. trees).

p. 58–59, McMahon and Bonner [31]



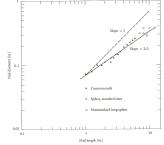






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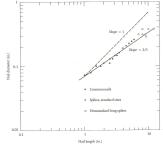






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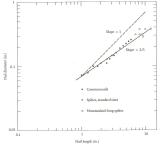






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Allometry
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A buckling instability?:

- ▶ Physics/Engineering result (⊞): Columns buckle
- ► To drive nails in, posit resistive force ∝ nail
- ► Match forces independent of nail size: $d^4/\ell^2 \propto d$.
- ▶ Leads to $d \propto \ell^{2/3}$.
- Argument made by Galileo [15] in 1638 in "Discourses
- ► Euler, 1757. (⊞)
- Also see McMahon, "Size and Shape in Biology,"

Scaling-at-large





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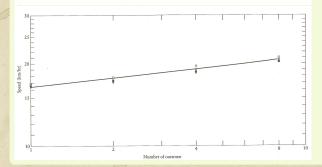




Rowing: Speed \propto (number of rowers)^{1/9}

Shell dimensions and performances.

No. of oarsmen	Modifying description	Length, l	Beam, b	I/b	Boat mass per oarsman (kg)	Time for 2000 m (min)			
						I	п	Ш	IV
8	Heavyweight	18.28	0.610	30.0	14.7	5.87	5.92	5.82	5.73
8	Lightweight	18.28	0.598	30.6	14.7				
4	With coxswain	12.80	0.574	22.3	18.1				
4	Without coxswain	11.75	0.574	21.0	18.1	6.33	6.42	6.48	6.13
2	Double scull	9.76	0.381	25.6	13.6				
2	Pair-oared shell	9.76	0.356	27.4	13.6	6.87	6.92	6.95	6.77
1	Single scull	7.93	0.293	27.0	16.3	7.16	7.25	7.28	7.17



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From further back:

- Scaling-at-large
- Examples

Scaling





- Zipf action [55, 56] (we've been here already)
- Survey by Naroll and von Bertalanffy [36] "The principle of allometry in biology and the social sciences" General Systems, Vol 1, 1956.

"Growth, innovation, scaling, and the pace of life in cities"

Bettencourt et al., PNAS, 2007. [4]

- Quantified levels of
 - Infrastructure
 - Wealth
 - ▶ Crime levels
 - Disease
 - Energy consumption

as a function of city size N (population).

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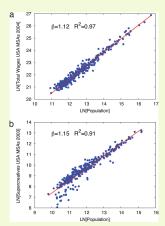


Fig. 1. Examples of scaling relationships. (a) Total wages per MSA in 2004 for the U.S. (blue points) vs. metropolitan population. (b) Supercreative employment per MSA in 2003, for the U.S. (blue points) vs. metropolitan population. Best-fit scaling relations are shown as solid lines.

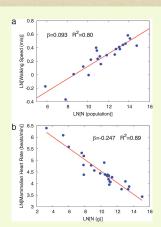


Fig. 2. The pace of urban life increases with city size in contrast to the pace of biological life, which decreases with organism size. (a) Scaling of walking speed vs. population for cities around the world. (b) Heart rate vs. the size (mass) of organisms.

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Table 1. Scaling exponents for urban indicators vs. city size

Υ	β	95% CI	Adj-R ²	Observations	Country-year
New patents	1.27	[1.25,1.29]	0.72	331	U.S. 2001
Inventors	1.25	[1.22,1.27]	0.76	331	U.S. 2001
Private R&D employment	1.34	[1.29,1.39]	0.92	266	U.S. 2002
"Supercreative" employment	1.15	[1.11,1.18]	0.89	287	U.S. 2003
R&D establishments	1.19	[1.14,1.22]	0.77	287	U.S. 1997
R&D employment	1.26	[1.18,1.43]	0.93	295	China 2002
Total wages	1.12	[1.09,1.13]	0.96	361	U.S. 2002
Total bank deposits	1.08	[1.03,1.11]	0.91	267	U.S. 1996
GDP	1.15	[1.06,1.23]	0.96	295	China 2002
GDP	1.26	[1.09, 1.46]	0.64	196	EU 1999-2003
GDP	1.13	[1.03,1.23]	0.94	37	Germany 2003
Total electrical consumption	1.07	[1.03,1.11]	0.88	392	Germany 2002
New AIDS cases	1.23	[1.18,1.29]	0.76	93	U.S. 2002-2003
Serious crimes	1.16	[1.11, 1.18]	0.89	287	U.S. 2003
Total housing	1.00	[0.99,1.01]	0.99	316	U.S. 1990
Total employment	1.01	[0.99,1.02]	0.98	331	U.S. 2001
Household electrical consumption	1.00	[0.94, 1.06]	0.88	377	Germany 2002
Household electrical consumption	1.05	[0.89,1.22]	0.91	295	China 2002
Household water consumption	1.01	[0.89,1.11]	0.96	295	China 2002
Gasoline stations	0.77	[0.74,0.81]	0.93	318	U.S. 2001
Gasoline sales	0.79	[0.73,0.80]	0.94	318	U.S. 2001
Length of electrical cables	0.87	[0.82,0.92]	0.75	380	Germany 2002
Road surface	0.83	[0.74,0.92]	0.87	29	Germany 2002

Data sources are shown in SI Text. CI, confidence interval; Adj-R², adjusted R²; GDP, gross domestic product.

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Intriguing findings:

- ▶ Global supply costs scale sublinearly with N (β < 1).
 - Returns to scale for infrastructure.
- ▶ Total individual costs scale linearly with N ($\beta = 1$)
 - Individuals consume similar amounts independent of city size.
- ▶ Social quantities scale superlinearly with N (β > 1)
 - ► Creativity (# patents), wealth, disease, crime, ...

Density doesn't seem to matter...

► Surprising given that across the world, we observe two orders of magnitude variation in area covered by agglomerations (⊞) of fixed populations.

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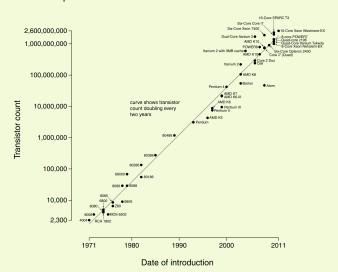
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Microprocessor Transistor Counts 1971-2011 & Moore's Law



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- \triangleright y_t = stuff unit cost; x_t = total amount of stuff made.
- ▶ Wright's Law, cost decreases exponentially with total stuff made: [53]

$$y_t \propto x_t^{-w}$$
.

► Moore's Law (⊞), framed as cost decrease connected with doubling of transistor density every two years: [33]

$$y_t \propto e^{-mt}$$

► Sahal's observation that Moore's law gives rise to Wright's law if stuff production grows exponentially: [41]

$$x_t \propto e^{gt}$$
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Sahal + Moore gives Wright with w = m/g.

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- $ightharpoonup y_t = \text{stuff unit cost}; x_t = \text{total amount of stuff made}.$
- Wright's Law, cost decreases exponentially with total stuff made: [53]

$$y_t \propto x_t^{-w}$$
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▶ Moore's Law (⊞), framed as cost decrease connected with doubling of transistor density every two years: [33]

$$y_t \propto e^{-mt}$$
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► Sahal's observation that Moore's law gives rise to Wright's law if stuff production grows exponentially: [41]

$$x_t \propto e^{gt}$$

Sahal + Moore gives Wright with w = m/g.

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Examples Metabolism a

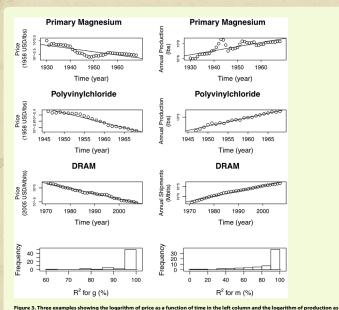
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a function of time in the right column, based on industry-wide data. We have chosen these examples to be representance. The top row contains an example with one of the worst fits, the second row an example with an intermediate goodness of fit, and the third row one of the best examples. The fourth row of the figure shows histograms of R^2 values for fitting g and m for the 62 datasets.

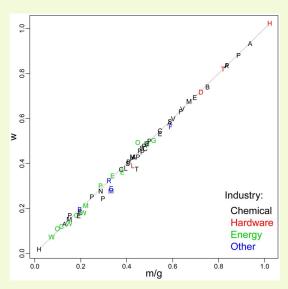


Figure 4. An illustration that the combination of exponentially increasing production and exponentially decreasing cost are equivalent to Wright's law. The value of the Wright parameter w is plotted against the prediction m/g based on the Sahal formula, where m is the exponent of cost reduction and g the exponent of the increase in cumulative production. doi:10.1371/journal.pone.0052669.004

Scaling of Specialization:

"Scaling of Differentiation in Networks: Nervous Systems, Organisms, Ant Colonies, Ecosystems, Businesses, Universities, Cities, Electronic Circuits, and Legos" M. A. Changizi, M. A. McDannald and D. Widders [8] J. Theor. Biol.. 2002.

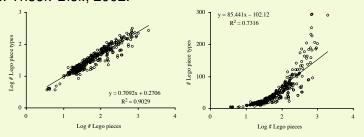


Fig. 3. Log-log (base 10) (left) and semi-log (right) plots of the number of Lego piece types vs. the total number of parts in Lego structures (n = 391). To help to distinguish the data points, logarithmic values were perturbed by adding a random number in the interval [-0.05, 0.05], and non-logarithmic values were perturbed by adding a random number in the interval [-1.1].

► Nice 2012 wired.com write-up (⊞)

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- ► *C* = network differentiation = # node types.
- ► *N* = network size = # nodes.
- \rightarrow d = combinatorial degree.
- Low d: strongly specialized parts.
- ► High d: strongly combinatorial in nature, parts are reused
- ► Claim: Natural selection produces high *d* systems.
- ► Claim: Engineering/brains produces low *d* systems.

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Condition





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Scaling-at-large





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TABLE 1 Summary of results*

Summary of results*										
Network	Node	No. data points	Range of log N	Log-log R ²	Semi-log R ²	p_{power}/p_{log}	Relationship between C and N	Comb. degree	Exponent v for type-net scaling	Figure in text
Selected networks										
Electronic circuits	Component	373	2.12	0.747	0.602	0.05/4e-5	Power law	2.29	0.92	2
Legos™	Piece	391	2.65	0.903	0.732	0.09/1e-7	Power law	1.41	_	3
Businesses										
military vessels	Employee	13	1.88	0.971	0.832	0.05/3e - 3	Power law	1.60	_	4
military offices	Employee	8	1.59	0.964	0.789	0.16/0.16	Increasing	1.13	_	4
universities	Employee	9	1.55	0.786	0.749	0.27/0.27	Increasing	1.37	_	4
insurance co.	Employee	52	2.30	0.748	0.685	0.11/0.10	Increasing	3.04	_	4
Universities										
across schools	Faculty	112	2.72	0.695	0.549	0.09/0.01	Power law	1.81	_	5
history of Duke	Faculty	46	0.94	0.921	0.892	0.09/0.05	Increasing	2.07	_	5
Ant colonies										
caste = type	Ant	46	6.00	0.481	0.454	0.11/0.04	Power law	8.16	_	6
size range = type	Ant	22	5.24	0.658	0.548	0.17/0.04	Power law	8.00	_	6
Organisms	Cell	134	12.40	0.249	0.165	0.08/0.02	Power law	17.73	-	7
Neocortex	Neuron	10	0.85	0.520	0.584	0.16/0.16	Increasing	4.56	_	9
Competitive networks										
Biotas	Organism	_	-	_	_	_	Power law	≈3	0.3 to 1.0	_
Cities	Business	82	2.44	0.985	0.832	0.08/8e-8	Power law	1.56	_	10

*(1) The kind of network, (2) what the nodes are within that kind of network, (3) the number of data points, (4) the logarithmic range of network sizes N (i.e. log(N_{mix}/N_{mix})), (5) the log-log correlation, (6) the semi-log correlation, (7) the semi-log-produces probabilities under, respectively, power-law and logarithmic models, (6) the empirically determined best directally observed differentiation of and organizations size N (if one of the two models can be refuted with p > (30)s. Othersise we just write "increasing" to denote that striker model can be rejected, (9) the combinatorial degree (i.e. the inverse of the best fit stope of a log-log plot of C versus N), (10) the scaling exponent for how quickly the edge-degree scales with type-network size C (in those places for which data exist), (11) figure in this text where the pols are presented. Values for boots represent the boots during form the first which the calls (11) figure in this text where the pols are presented. Values for boots represent the boots during down the first pols of the combination of the pols of the combination of the pols of the combination of the pols of the pols of the combination of the pols o

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Ecology—Species-area law: (⊞)

Allegedly (data is messy): [52, 28]

Þ

$$N_{
m species} \propto A^{eta}$$

- On islands: $\beta \approx 1/4$.
- ▶ On continuous land: $\beta \approx 1/8$.

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"In the scientific integrity system known as peer review, the people are represented by two highly overlapping yet equally important groups: the independent scientists who review papers and the scientists who punish those who publish garbage. This is one of their stories."

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Animal power

Scaling

Fundamental biological and ecological constraint:

$$P = c M^{\alpha}$$

P = basal metabolic rate

M =organismal body mass





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Fundamental biological and ecological constraint:

 $P = c M^{\alpha}$

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Prefactor *c* depends on body plan and body temperature:

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Prefactor *c* depends on body plan and body temperature:

Birds 39–41 °*C*

Eutherian Mammals 36–38°C

Marsupials 34-36°C

Monotremes 30-31°C





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$$\alpha = 2/3$$

Dimensional analysis suggests

$$P \propto S \propto V^{2/3} \propto M^{2/3}$$

- Assumes isometric scaling (not quite the spherical
- Lognormal fluctuations:

Stefan-Boltzmann law (⊞) for radiated energy:

$$\frac{\mathrm{d}E}{\mathrm{d}t} = \sigma \varepsilon S T^4 \propto S$$

Scaling-at-large







 $\alpha = 2/3$ because . . .

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 Gaussian fluctuations in $\log P$ around $\log cM^{\alpha}$.
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Scaling-at-large







The prevailing belief of the Church of Quarterology:

$$\alpha = 3/4$$

 $P \propto M^{3/4}$

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Huh?

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The prevailing belief of the Church of Quarterology:

Most obvious concern:

$$3/4 - 2/3 = 1/12$$

- An exponent higher than 2/3 points suggests a fundamental inefficiency in biology.
- Organisms must somehow be running 'hotter' than they need to balance heat loss.

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Wait! There's more!:

- ▶ number of capillaries $\propto M^{3/4}$
- ▶ heart rate $\propto M^{-1/4}$
- cross-sectional area of aorta $\propto M^{3/4}$
- ▶ population density $\propto M^{-3/4}$

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Assuming:

- Average lifespan $\propto M^{\beta}$
- ▶ Average heart rate $\propto M^{-\beta}$
- ▶ Irrelevant but perhaps $\beta = 1/4$.

Then:

Average number of heart beats in a lifespan

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 ≃ (Average lifespan) × (Average heart rate)

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Scaling-at-large







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- Number of heartbeats per life time is independent of organism size!

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- ► ≈ 1.5 billion....

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Death by fractions









A theory is born:

1840's: Sarrus and Rameaux [43] first suggested $\alpha = 2/3$.



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A theory grows:

1883: Rubner^[40] found $\alpha \simeq 2/3$.



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Theory meets a different 'truth':

1930's: Brody, Benedict study mammals. [7] Found $\alpha \simeq 0.73$ (standard).



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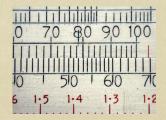








Our hero faces a shadowy cabal:



- ▶ 1932: Kleiber analyzed 13 mammals. [24]
- ▶ Found $\alpha = 0.76$ and suggested $\alpha = 3/4$.
- ► Scaling law of Metabolism became known as Kleiber's Law (⊞) (2011 Wikipedia entry is embarrassing).

➤ 1961 book. 'The Fire of Life. An Introduction to

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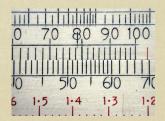
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When a cult becomes a religion:

1950/1960: Hemmingsen [20, 21] Extension to unicellular organisms. $\alpha = 3/4$ assumed true.



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Deference







The Cabal assassinates 2/3-scaling:

- ▶ 1964: Troon, Scotland.
- 3rd Symposium on Energy Metabolism.
- $\alpha = 3/4$ made official . . .



- ▶ But the Cabal slipped up by publishing the conference proceedings ...
- "Energy Metabolism; Proceedings of the 3rd symposium held at Troon, Scotland, May 1964," Ed. Sir Kenneth Blaxter [5]

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Quarterology spreads throughout the land ...

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Scaling-at-large Death by fractions







- ▶ Did the truth kill a theory? Or did a theory kill the truth?
- Or was the truth killed by just a lone, lowly hynpothesis?
- ▶ Does this go all the way to the top?
 To the National Academies of Science
- ▶ Is 2/3-scaling really dead?
- Could 2/3-scaling have faked its own death?
- ▶ What kind of people would vote on scientific facts?

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- Did the truth kill a theory? Or did a theory kill the truth?
- Or was the truth killed by just a lone, lowly hynpothesis?
- Does this go all the way to the top? To the National Academies of Science?
- ▶ Is 2/3-scaling really dead?
- Could 2/3-scaling have faked its own death?
- What kind of people would vote on scientific facts?

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An unsolved truthicide:

So many questions ...

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▶ 3/4 is held by many to be the one true exponent.



In the Beat of a Heart: Life, Energy, and the Unity of Nature—by John Whitfield

, and ensuing madness...

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Modern Quarterology, Post Truthicide

▶ 3/4 is held by many to be the one true exponent.



In the Beat of a Heart: Life, Energy, and the Unity of Nature—by John Whitfield

- ► But: much controversy ...
- ▶ See 'Re-examination of the "3/4-law" of metabolism' by the Heretical Unbelievers Dodds, Rothman, and Weitz [13], and ensuing madness...

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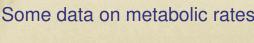
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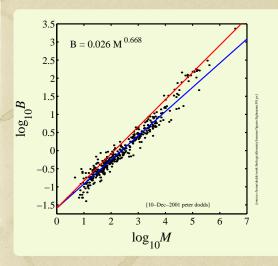






Some data on metabolic rates





- Heusner's data $(1991)^{[22]}$
- 391 Mammals
- ▶ blue line: 2/3
- red line: 3/4.
- ► (*B* = *P*)

Scaling

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Death by fractions

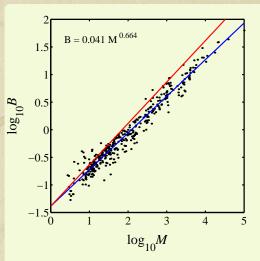






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- ► Bennett and Harvey's data (1987) [3]
- ▶ 398 birds
- ▶ blue line: 2/3
- ► red line: 3/4.
- ► (*B* = *P*)

0 70 80 90 100

Passerine vs. non-passerine issue...



Outline

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Important:

- ▶ Ordinary Least Squares (OLS) Linear regression is only appropriate for analyzing a dataset $\{(x_i, y_i)\}$ when we know the x_i are measured without error.
- Here we assume that measurements of mass M have less error than measurements of metabolic rate B.
- ► Linear regression assumes Gaussian errors.

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Scaling

More on regression:

If (a) we don't know what the errors of either variable are,

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More on regression:

If (a) we don't know what the errors of either variable are, or (b) no variable can be considered independent,

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More on regression:

If (a) we don't know what the errors of either variable are, or (b) no variable can be considered independent, then we need to use Standardized Major Axis Linear Regression. [42, 39]

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More on regression:

If (a) we don't know what the errors of either variable are, or (b) no variable can be considered independent, then we need to use Standardized Major Axis Linear Regression. [42, 39] (aka Reduced Major Axis = RMA.)

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For Standardized Major Axis Linear Regression:

$$slope_{SMA} = \frac{standard\ deviation\ of\ y\ data}{standard\ deviation\ of\ x\ data}$$

- ► Very simple!
- Scale invariant.

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Relationship to ordinary least squares regression is simple:

$$slope_{SMA} = r^{-1} \times slope_{OLS y on x}$$

= $r \times slope_{OLS x on y}$

where r = standard correlation coefficient:

$$r = \frac{\sum_{i=1}^{n} (x_i - \bar{x})(y_i - \bar{y})}{\sqrt{\sum_{i=1}^{n} (x_i - \bar{x})^2} \sqrt{\sum_{i=1}^{n} (y_i - \bar{y})^2}}$$

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Heusner's data, 1991 (391 Mammals)

range of M	N	\hat{lpha}
\leq 0.1 kg	167	0.678 ± 0.038
\leq 1 kg	276	0.662 ± 0.032
\leq 10 kg	357	0.668 ± 0.019
\leq 25 kg	366	0.669 ± 0.018
\leq 35 kg	371	0.675 ± 0.018
≤ 350 kg	389	0.706 ± 0.016
≤ 3670 kg	391	0.710 ± 0.021

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Bennett and Harvey, 1987 (398 birds)

<i>M</i> _{max}	N	â
≤ 0.032	162	0.636 ± 0.103
≤ 0.1	236	0.602 ± 0.060
≤ 0.32	290	0.607 ± 0.039
≤ 1	334	0.652 ± 0.030
≤ 3.2	371	0.655 ± 0.023
≤ 10	391	0.664 ± 0.020
≤ 32	396	0.665 ± 0.019
≤ 100	398	0.664 ± 0.019

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Test to see if α' is consistent with our data $\{(M_i, B_i)\}$:

$$H_0: \alpha = \alpha'$$
 and $H_1: \alpha \neq \alpha'$.

- Assume each \mathbf{B}_i (now a random variable) is normally distributed about $\alpha' \log_{10} M_i + \log_{10} c$.
- Follows that the measured α for one realization obeys a t distribution with N-2 degrees of freedom
- ▶ Calculate a p-value: probability that the measured α is as least as different to our hypothesized α' as we observe.
- ► See, for example, DeGroot and Scherish, "Probability and Statistics." [10]

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Hypothesis testing

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Full mass range:

	Ν	\hat{lpha}	$p_{2/3}$	$p_{3/4}$
Kleiber	13	0.738	$< 10^{-6}$	0.11
Brody	35	0.718	$< 10^{-4}$	$< 10^{-2}$
Heusner	391	0.710	$< 10^{-6}$	$< 10^{-5}$
Bennett and Harvey	398	0.664	0.69	$< 10^{-15}$

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Revisiting the past—mammals

$M \leq 10 \text{ kg}$:

	Ν	$\hat{\alpha}$	$p_{2/3}$	$p_{3/4}$
Kleiber	5	0.667	0.99	0.088
Brody	26	0.709	$< 10^{-3}$	$< 10^{-3}$
Heusner	357	0.668	0.91	$< 10^{-15}$

$M \ge 10$ kg:

	Ν	$\hat{\alpha}$	$p_{2/3}$	$p_{3/4}$	
Kleiber	8	0.754	$< 10^{-4}$	0.66	
Brody	9	0.760	$< 10^{-3}$	0.56	
Heusner	34	0.877	$< 10^{-12}$	$< 10^{-7}$	

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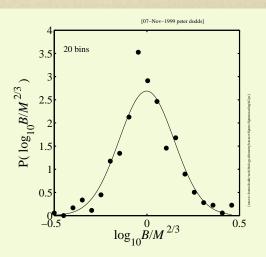
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Scaling



- $P(B|M) = 1/M^{2/3}f(B/M^{2/3})$
- Use a Kolmogorov-Smirnov test.

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- 1. Presume an exponent of your choice: 2/3 or 3/4.

$$r_i = \log_{10} B_i - (\alpha' \log_{10} M_i - \log_{10} c).$$

Measuring allometric







- 1. Presume an exponent of your choice: 2/3 or 3/4.
- 2. Fit the prefactor $(\log_{10} c)$ and then examine the residuals:

$$r_i = \log_{10} B_i - (\alpha' \log_{10} M_i - \log_{10} c).$$

- 3. H_0 : residuals are uncorrelated H_1 : residuals are correlated.
- Measure the correlations in the residuals and compute a p-value.

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We use the spiffing Spearman Rank-Order Correlation Cofficient (\boxplus)

Basic idea:

- ▶ Given $\{(x_i, y_i)\}$, rank the $\{x_i\}$ and $\{y_i\}$ separately from smallest to largest. Call these ranks R_i and S_i
- Now calculate correlation coefficient for ranks, r_s:
- **>**
- $r_{\rm s} = \frac{\sum_{i=1}^{n} (R_i \bar{R})(S_i \bar{S})}{2}$
- $\sqrt{\sum_{i=1}^n (R_i \bar{R})^2} \sqrt{\sum_{i=1}^n (S_i \bar{S})^2}$
- Perfect correlation: x_i's and y_i's both increase monotonically.

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Analysis of residuals

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- r_s is distributed according to a Student's
- Excellent feature: Non-parametric—real distribution
- ▶ Bonus: works for non-linear monotonic relationships
- See Numerical Recipes in C/Fortran (⊞) which

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- r_s is distributed according to a <u>Student's</u>
 t-distribution (⊞) with N − 2 degrees of freedom.
- ► Excellent feature: Non-parametric—real distribution of *x*'s and *y*'s doesn't matter.
- ▶ Bonus: works for non-linear monotonic relationships as well.
- ► See Numerical Recipes in C/Fortran (⊞) which contains many good things. [37]

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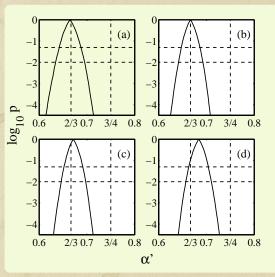
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- (a) M < 3.2 kg,
- (b) M < 10 kg,
- (c) M < 32 kg,
- (d) all mammals.

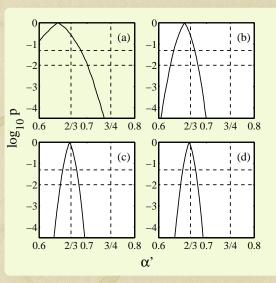
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Analysis of residuals—birds



- (a) M < 0.1 kg,
- (b) M < 1 kg,
- (c) M < 10 kg,
- (d) all birds.

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Other approaches to measuring exponents:

- Clauset, Shalizi, Newman: "Power-law distributions in empirical data" [9] SIAM Review, 2009.
- See Clauset's page on measuring power law exponents (⊞) (code, other goodies).

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Recap:

Scaling

- ▶ So: The exponent $\alpha = 2/3$ works for all birds and mammals up to 10-30 kg
- ► For mammals > 10–30 kg, maybe we have a new
- ▶ Possible connection?: Economos (1983)—limb
- But see later: non-isometric growth leads to lower

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- ► So: The exponent $\alpha = 2/3$ works for all birds and mammals up to 10–30 kg
- ► For mammals > 10–30 kg, maybe we have a new scaling regime
- ► Possible connection?: Economos (1983)—limb length break in scaling around 20 kg [14]
- But see later: non-isometric growth leads to lower metabolic scaling. Oops.

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Now we're really confused (empirically):

- ▶ White and Seymour, 2005: unhappy with large herbivore measurements ^[51]. Pro 2/3: Find $\alpha \simeq 0.686 \pm 0.014$.
- Glazier, BioScience (2006) [18]: "The 3/4-Power Law Is Not Universal: Evolution of Isometric, Ontogenetic Metabolic Scaling in Pelagic Animals."
- ► Glazier, Biol. Rev. (2005) [17]: "Beyond the 3/4-power law": variation in the intra- and interspecific scaling of metabolic rate in animals."
- ► Savage et al., PLoS Biology (2008) [44] "Sizing up allometric scaling theory" Pro 3/4: problems claimed to be finite-size scaling.

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- ► Savage et al., PLoS Biology (2008) [44] "Sizing up allometric scaling theory" Pro 3/4: problems claimed to be finite-size scaling.

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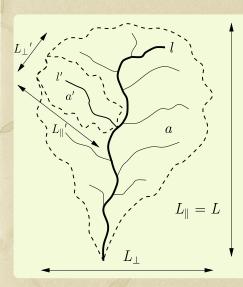








Basic basin quantities: a, l, L_{\parallel} , L_{\perp} :



- ▶ a = drainage basin area
- ▶ ℓ = length of longest (main) stream
- ► *L* = *L*_{||} = longitudinal length of basin

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▶ 1957: J. T. Hack [19] "Studies of Longitudinal Stream Profiles in Virginia and Maryland"

$$\ell \sim a^h$$

$$h \sim 0.6$$

- ▶ Anomalous scaling: we would expect h = 1/2...
- ▶ Subsequent studies: $0.5 \lesssim h \lesssim 0.6$
- Another quest to find universality/god...
- A catch: studies done on small scales.

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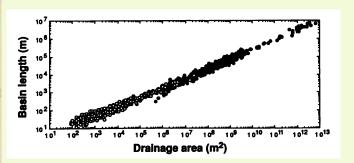
Conclusion





Large-scale networks:

(1992) Montgomery and Dietrich [32]:



- Composite data set: includes everything from unchanneled valleys up to world's largest rivers.
- Estimated fit:

$$L \simeq 1.78a^{0.49}$$

Mixture of basin and main stream lengths.



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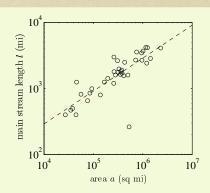
Blood networks







World's largest rivers only:



- ▶ Data from Leopold (1994) [27, 12]
- ► Estimate of Hack exponent: $h = 0.50 \pm 0.06$

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► Blum (1977) [6] speculates on four-dimensional biology:

$$P \propto M^{(d-1)/d}$$

- ▶ d = 3 gives $\alpha = 2/3$
- \rightarrow d = 4 gives $\alpha = 3/4$
- ▶ So we need another dimension...
- ▶ Obviously, a bit silly... [46]

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Building on the surface area idea:

- ► McMahon (70's, 80's): Elastic Similarity [29, 31]
- Idea is that organismal shapes scale allometrically with 1/4 powers (like trees...)
- ► Appears to be true for ungulate legs... [30]
- Metabolism and shape never properly connected.

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- ▶ 1960's: Rashevsky considers blood networks and finds a 2/3 scaling.
- ▶ 1997: West *et al.* [50] use a network story to find 3/4 scaling.

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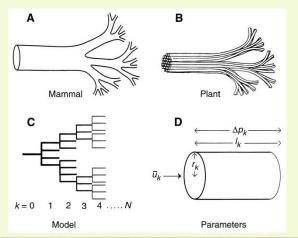
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'Tattooed Guy' Was Pivotal in Armstrong Case [nytimes] (⊞)



"... Leogrande's doping sparked a series of events ..."

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West et al.'s assumptions:

- 1. hierarchical network
- 2. capillaries (delivery units) invariant
- 3. network impedance is minimized via evolution

Claims

- $P \propto M^{3/4}$
- networks are fractal
- quarter powers everywhere

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Impedance measures:

► Poiseuille flow (outer branches):

$$Z = \frac{8\mu}{\pi} \sum_{k=0}^{N} \frac{\ell_k}{r_k^4 N_k}$$

Pulsatile flow (main branches):

$$Z \propto \sum_{k=0}^N \frac{h_k^{1/2}}{r_k^{5/2} N_k}$$

- ► Wheel out Lagrange multipliers ...
- ▶ Poiseuille gives $P \propto M^1$ with a logarithmic correction.
- Pulsatile calculation explodes into flames.

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- ▶ $P \propto M^{3/4}$ does not follow for pulsatile flow
- networks are not necessarily fractal.

Do find

Murray's cube law (1927) for outer branches: [

$$r_0^3 = r_1^3 + r_2^3$$

- ► Impedance is distributed evenly.
- Can still assume networks are fracta

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Not so fast

Scaling

Actually, model shows:

- ▶ $P \propto M^{3/4}$ does not follow for pulsatile flow
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1. Ratios of network parameters:

$$R_n = \frac{n_{k+1}}{n_k}, \ R_\ell = \frac{\ell_{k+1}}{\ell_k}, \ R_r = \frac{r_{k+1}}{r_k}$$

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$$\Rightarrow \boxed{\alpha = -\frac{\ln R_n}{\ln R_r^2 R_\ell}}$$

(also problematic due to prefactor issues)

Obliviously soldiering on, we could assert:

- area-preservingness:
 - $R_r = R_n^{-1/2} \qquad \Rightarrow \alpha = 3/4$
- ▶ space-fillingness: $R_{\ell} = R_n^{-1/3}$

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Connecting network structure to α

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Data from real networks:

Network	R_n	R_r^{-1}	R_ℓ^{-1}	$-\frac{\ln R_r}{\ln R_n}$	$-\frac{\ln R_\ell}{\ln R_n}$	α
West et al.	_	_	_	1/2	1/3	3/4
rat (PAT)	2.76	1.58	1.60	0.45	0.46	0.73
cat (PAT) (Turcotte <i>et al.</i> ^[49])	3.67	1.71	1.78	0.41	0.44	0.79
dog (PAT)	3.69	1.67	1.52	0.39	0.32	0.90
pig (LCX) pig (RCA) pig (LAD)	3.57 3.50 3.51	1.89 1.81 1.84	2.20 2.12 2.02	0.50 0.47 0.49	0.62 0.60 0.56	0.62 0.65 0.65
human (PAT) human (PAT)	3.03 3.36	1.60 1.56	1.49 1.49	0.42 0.37	0.36 0.33	0.83 0.94

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Some people understand it's truly a disaster:



"Power, Sex, Suicide: Mitochondria and the Meaning of Life" (⊞) by Nick Lane (2005). [26]

"As so often happens in science, the apparently solid foundations of a field turned to rubble on closer inspection."

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Conclusion







Really, quite confused:

Whole 2004 issue of Functional Ecology addresses the problem:

- J. Kozlowski, M. Konrzewski (2004). "Is West, Brown and Enquist's model of allometric scaling mathematically correct and biologically relevant?" Functional Ecology 18: 283-9, 2004.
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- ▶ J. Kozlowski, M. Konarzewski (2005), "West, Brown

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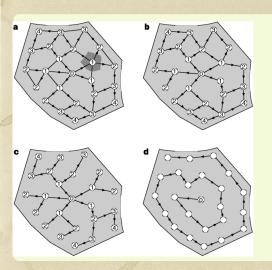
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Simple supply networks



- Banavar et al., Nature, $(1999)^{[1]}$
- Flow rate argument
- Ignore impedance
- Very general attempt to find most efficient transportation networks

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Banavar et al. find 'most efficient' networks with

$$P \propto M^{d/(d+1)}$$

▶ ... but also find

$$V_{\rm network} \propto M^{(d+1)/d}$$

► *d* = 3:

$$V_{\rm blood} \propto M^{4/3}$$

- ► Consider a 3 g shrew with $V_{\text{blood}} = 0.1 V_{\text{body}}$
- ▶ \Rightarrow 3000 kg elephant with $V_{\text{blood}} = 10 V_{\text{body}}$

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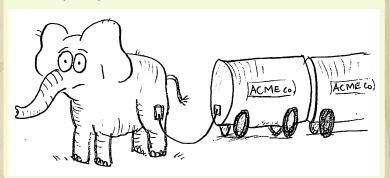






Simple supply networks

Such a pachyderm would be rather miserable:



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- "Optimal Form of Branching Supply and Collection Networks." Dodds, Phys. Rev. Lett., 2010. [11]
- ► Consider one source supplying many sinks in a *d*-dim. volume in a *D*-dim. ambient space.
- Assume sinks are invariant.
- Assume sink density $\rho = \rho(V)$.
- Assume some cap on flow speed of material.
- See network as a bundle of virtual vessels:

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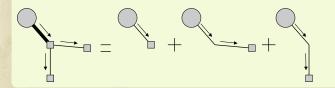
Geometric argument

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- "Optimal Form of Branching Supply and Collection Networks." Dodds, Phys. Rev. Lett., 2010. [11]
- ► Consider one source supplying many sinks in a *d*-dim. volume in a *D*-dim. ambient space.
- Assume sinks are invariant.
- Assume sink density $\rho = \rho(V)$.
- Assume some cap on flow speed of material.
- See network as a bundle of virtual vessels:



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- Q: how does the number of sustainable sinks N_{sinks} scale with volume V for the most efficient network design?
- ▶ Or: what is the highest α for $N_{\text{sinks}} \propto V^{\alpha}$?

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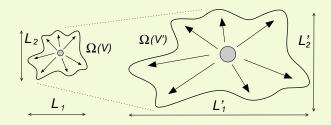
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Allometrically growing regions:



Have d length scales which scale as

$$L_i \propto V^{\gamma_i}$$
 where $\gamma_1 + \gamma_2 + \ldots + \gamma_d = 1$.

- ▶ For isometric growth, $\gamma_i = 1/d$.
- For allometric growth, we must have at least two of the {γ_i} being different

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Spherical cows and pancake cows:

- ► Question: How does the surface area S_{cow} of our two types of cows scale with cow volume V_{cow}? Insert question from assignment 10 (⊞)
- Question: For general families of regions, how does surface area S scale with volume V? Insert question from assignment 10 (⊞)

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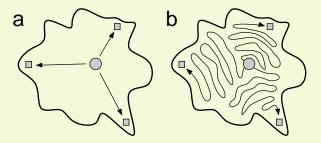
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▶ Best and worst configurations (Banavar et al.)



► Rather obviously: min $V_{\text{net}} \propto \sum$ distances from source to sinks.

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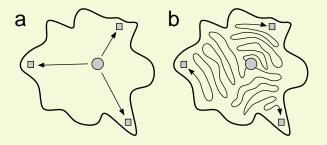
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Real supply networks are close to optimal:

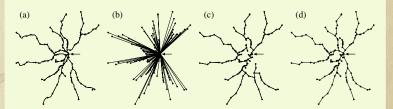


Figure 1. (a) Commuter rail network in the Boston area. The arrow marks the assumed root of the network. (b) Star graph. (c) Minimum spanning tree. (d) The model of equation (3) applied to the same set of stations.

and Newman (2006): "Shape and efficiency in spatial distribution networks" [16]

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Minimal network volume:

Approximate network volume by integral over region:

$$\min V_{\rm net} \propto \int_{\Omega_{d,D}(V)} \rho \, ||\vec{x}|| \, \mathrm{d}\vec{x}$$

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Approximate network volume by integral over region:

$$\min V_{\rm net} \propto \int_{\Omega_{d,D}(V)} \rho \, ||\vec{x}|| \, \mathrm{d}\vec{x}$$

$$ightarrow
ho V^{1+\gamma_{\mathsf{max}}} \int_{\Omega_{d,D}(c)} (c_1^2 u_1^2 + \ldots + c_k^2 u_k^2)^{1/2} \mathrm{d}ec{u}$$

Insert question from assignment 10 (⊞)

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▶ General result:

min
$$V_{\rm net} \propto \rho V^{1+\gamma_{\rm max}}$$

▶ If scaling is isometric, we have $\gamma_{\text{max}} = 1/d$:

$$\min V_{\text{net/iso}} \propto \rho V^{1+1/d} = \rho V^{(d+1)/d}$$

▶ If scaling is allometric, we have $\gamma_{\rm max} = \gamma_{\rm allo} > 1/d$: and

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$$V_{\rm net/allo} \propto \rho V^{1+\gamma_{\rm allo}}$$

Isometrically growing volumes require less network volume than allometrically growing volumes:

$$rac{ ext{min } V_{ ext{net/iso}}}{ ext{min } V_{ ext{net/allo}}}
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- ▶ Material costly \Rightarrow expect lower optimal bound of $V_{\text{net}} \propto \rho V^{(d+1)/d}$ to be followed closely.
- ▶ For cardiovascular networks, d = D = 3.
- ▶ Blood volume scales linearly with body volume [47], $V_{\text{net}} \propto V$.
- ► Sink density must ∴ decrease as volume increases:

$$\rho \propto V^{-1/d}$$
.



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Then P, the rate of overall energy use in Ω, can at most scale with volume as

$$P \propto \rho V$$

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 $P \propto M^{2/3}$

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Stefan-Boltzmann law: (⊞)

$$\frac{\mathrm{d}E}{\mathrm{d}t} = \sigma S T^4$$

where S is surface and T is temperature.

Very rough estimate of prefactor based on scaling of normal mammalian body temperature and surface area S:

$$B \simeq 10^5 M^{2/3} \text{erg/sec}$$

▶ Measured for $M \le 10$ kg:

$$B = 2.57 \times 10^5 M^{2/3} \text{erg/sec}$$

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- View river networks as collection networks.
- Many sources and one sink.
- ▶ Assume ρ is constant over time:

$$V_{\rm net} \propto \rho V^{(d+1)/d} = {\rm constant} \times V^{3/2}$$

- Network volume grows faster than basin 'volume' (really area).
- It's all okay: Landscapes are d=2 surfaces living in D=3 dimensions.
- Streams can grow not just in width but in depth...

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- Volume of water in river network can be calculated by adding up basin areas
- ► Flows sum in such a way that

$$V_{
m net} = \sum_{
m all\ pixels} a_{
m pixel\ \it i}$$

► Hack's law again:

$$\ell \sim a^h$$

► Can argue

$$V_{\rm net} \propto V_{\rm basin}^{1+h} = a_{\rm basin}^{1+h}$$

where *h* is Hack's exponent.

▶ ∴ minimal volume calculations gives

$$h = 1/2$$



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Real data:

- Banavar et al.'s approach [1] is okay because ρ really is constant.
- ► The irony: shows optimal basins are isometric
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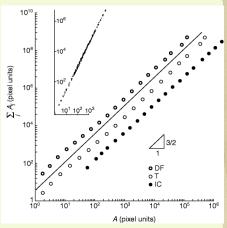
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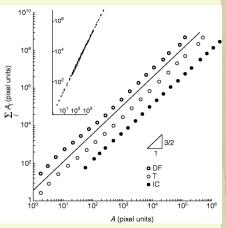


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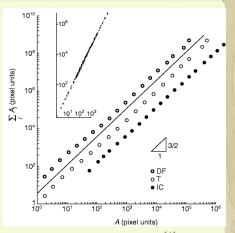
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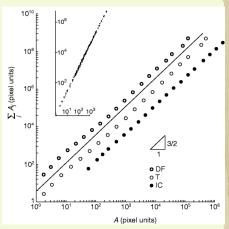
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- ► (Zzzzz)



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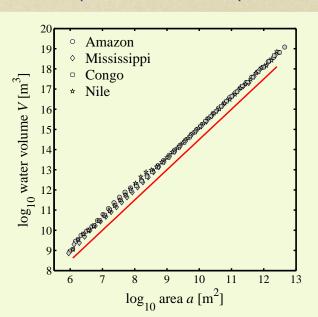
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Even better—prefactors match up:



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The Cabal strikes back:

- ► Banavar et al., 2010, PNAS:

 "A general basis for quarter-power scaling in animals." [2]
- "It has been known for decades that the metabolic rate of animals scales with body mass with an exponent that is almost always < 1, > 2/3, and often very close to 3/4."
- ► Cough, cough, hack, wheeze, cough.

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Some people understand it's truly a disaster: (⊞)



Peter Sheridan Dodds, Theoretical Biology's Buzzkill

By Mark Changizi | February 9th 2010 03:24 PM | 1 comment | Print | E-mail | Track Comments



Mark Changizi

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There is an apocryphal story about a graduate mathematics student at the University of Virginia studying the properties of certain mathematical objects. In his fifth year some killjoy bastard elsewhere published a paper proving that there are no such mathematical objects. He dropped out of the proparam, and I never did hear where

he is today. He's probably making my cappuccino right now.

This week, a professor named Peter Sheridan Dodds published a new paper in *Physical Review Letters* further fleshing out a theory concerning why a 2/3 power law may apply for metabolic rate. The 2/3 law says that metabolic rate in animals rises as the 2/3 power of body mass. It was in a 2001 *Journal of Theoretical Biology* paper that he first argued that perhaps a 2/3 law applies, and that paper – along with others such as the one that just appeared — is what has put him in the Killjoy Hall of Fame. The University of Virginia's killjoy was a mere amateur.

Mark Changizi

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ABOUT MARK

Mark Changizi is Director of Human Cognition at 2Al, and the author of *The Vision Revolution* (Benbella 2009) and *Harnessed: How.*...

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The unnecessary bafflement continues:

"Testing the metabolic theory of ecology" [38]

C. Price, J. S. Weitz, V. Savage, J. Stegen, A. Clarke, D. Coomes, P. S. Dodds, R. Etienne, A. Kerkhoff, K. McCulloh, K. Niklas, H. Olff, and N. Swenson Ecology Letters, **15**, 1465–1474, 2012.

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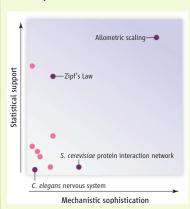
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Artisanal, handcrafted stupidity:

"Critical truths about power laws" [48] Stumpf and Porter, Science, 2012



How good is your power law? The chart reflects the level of statistical support—as measured in (16, 21)—and our opinion about the mechanistic sophistication underlying hypothetical generative models for various reported power laws. Some relationships are identified by name; the others reflect the general characteristics of a wide range of reported power laws. Allometric scaling stands out from the other power laws reported for complex systems.

- Call generalization of Central Limit Theorem, stable distributions. Also: PLIPLO action.
- ► Summary: Wow.

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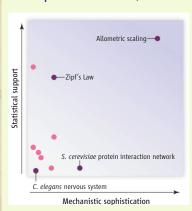
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- Supply network story consistent with dimensional analysis.
- ► Isometrically growing regions can be more efficiently supplied than allometrically growing ones.
- Ambient and region dimensions matter (D = d versus D > d).
- ► Deviations from optimal scaling suggest inefficiency (e.g., gravity for organisms, geological boundaries).
- Actual details of branching networks not that important.
- ► Exact nature of self-similarity varies.
- ▶ 2/3-scaling lives on, largely in hiding.
- ▶ 3/4-scaling? Jury ruled a mistrial.
- ► The truth will out.

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