

# Why Complexify?

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Principles of Complex Systems, Vols. 1, 2, & 3D  
CSYS/MATH 6701, 6713, & a pretend number, 2024–2025

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Santa Fe Institute | University of Vermont



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The PoCverse  
Why Complexify?  
1 of 37

Universality

Symmetry Breaking

The Big Theory

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The PoCverse  
Why Complexify?  
2 of 37

Universality

Symmetry Breaking

The Big Theory

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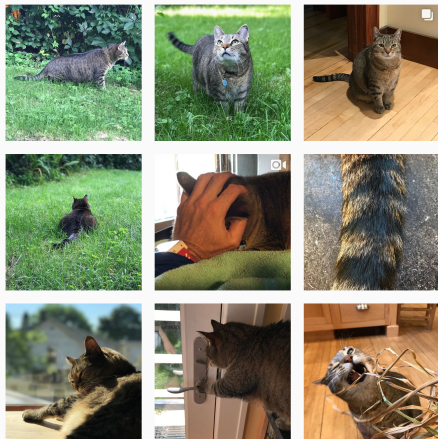
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The PoCverse  
Why Complexify?  
3 of 37

Universality

Symmetry Breaking

The Big Theory

Midseason Finale

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consideration

References



# Outline

Universality

Symmetry Breaking

The Big Theory

Midseason Finale

For your consideration

References

The PoCSverse  
Why Complexify?  
4 of 37

Universality

Symmetry Breaking

The Big Theory

Midseason Finale

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consideration

References





# Limits to what's possible:

## Universality :



The property that the macroscopic aspects of a system do not depend sensitively on the system's details.

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Why Complexify?  
6 of 37

Universality

Symmetry Breaking

The Big Theory

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consideration


References







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 Key figure: Leo Kadanoff 

The PoCSverse  
Why Complexify?  
6 of 37

Universality

Symmetry Breaking

The Big Theory

Midseason Finale


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References




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 Key figure: Leo Kadanoff 

 Kadanoff's retrospective: "Innovations in Statistics Physics" [4]



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The PoCverse  
Why Complexify?  
6 of 37

Universality

Symmetry Breaking





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Midseason Finale

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consideration

References

## Universality :


-  The property that the macroscopic aspects of a system do not depend sensitively on the system's details.
-  Key figure: Leo Kadanoff 
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## Examples:




# Limits to what's possible:


## Universality

 The property that the macroscopic aspects of a system do not depend sensitively on the system's details.

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## Examples:

 The Central Limit Theorem:





$$P(x; \mu, \sigma) dx = \frac{1}{\sqrt{2\pi}\sigma} e^{-(x-\mu)^2/2\sigma^2} dx .$$






# Limits to what's possible:


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-  Navier Stokes equation for fluids.




# Limits to what's possible:



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## Examples:

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$$P(x; \mu, \sigma) dx = \frac{1}{\sqrt{2\pi}\sigma} e^{-(x-\mu)^2/2\sigma^2} dx .$$

-  Navier Stokes equation for fluids.
-  Nature of phase transitions in statistical mechanics.



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References



Sometimes **details don't matter too much.**



# Universality



Sometimes **details don't matter too much.**



Many-to-one mapping from micro to macro

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Why Complexify?  
7 of 37

Universality

Symmetry Breaking

The Big Theory

Midseason Finale

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consideration

References





# Universality

The PoCverse  
Why Complexify?  
7 of 37

Universality




Symmetry Breaking

The Big Theory

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References

-  Sometimes **details don't matter too much.**
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-  Suggests not all possible behaviors are available at higher levels of complexity.



# Universality

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Why Complexify?  
7 of 37

Universality

Symmetry Breaking

The Big Theory

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References

- ☰ Sometimes **details don't matter too much.**
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- ☰ Universality means some things are fated.

Large questions:



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The PoCverse  
Why Complexify?  
7 of 37

Universality

Symmetry Breaking

The Big Theory

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Large questions:

- ⊞ How universal is universality?



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Why Complexify?  
7 of 37

Universality

Symmetry Breaking

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References

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- ⊞ Universality means some things are fated.

## Large questions:

- ⊞ How universal is universality?
- ⊞ What are the possible long-time states (attractors) for a universe?





# Fluid mechanics



Fluid mechanics = One of the great successes of understanding complex systems.

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Why Complexify?  
8 of 37

Universality

Symmetry Breaking

The Big Theory

Midseason Finale

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consideration

References



# Fluid mechanics

The PoCverse  
Why Complexify?  
8 of 37

Universality

Symmetry Breaking

The Big Theory

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References



Fluid mechanics = One of the great successes of understanding complex systems.



Navier-Stokes equations: micro-macro system evolution.



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The PoCverse  
Why Complexify?  
8 of 37

Universality

Symmetry Breaking

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References

- Fluid mechanics = One of the great successes of understanding complex systems.
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- The big three: Experiment + Theory + Simulations.



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Why Complexify?  
8 of 37

Universality

Symmetry Breaking

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References

- ☰ Fluid mechanics = One of the great successes of understanding complex systems.
- ☰ Navier-Stokes equations: micro-macro system evolution.
- ☰ The big three: Experiment + Theory + Simulations.
- ☰ Works for many very different ‘fluids’:
  - ☐ the atmosphere,
  - ☐ oceans,
  - ☐ blood,
  - ☐ the earth’s mantle,
  - ☐ galaxies, ...



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Why Complexify?  
8 of 37

Universality











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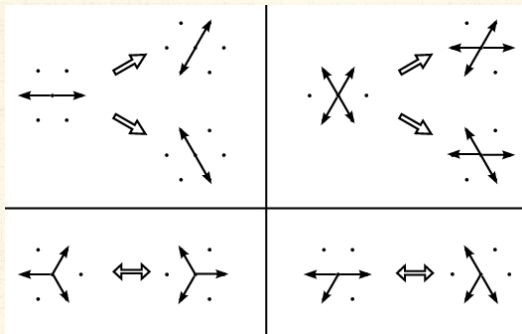
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-  Navier-Stokes equations: micro-macro system evolution.
-  The big three: Experiment + Theory + Simulations.
-  Works for many very different 'fluids':
  -  the atmosphere,
  -  oceans,
  -  blood,
  -  the earth's mantle,
  -  galaxies, ...
  -  and ball bearings on lattices ...?





# Lattice gas models

Collision rules in 2-d on a hexagonal lattice:



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Why Complexify?  
9 of 37

Universality

Symmetry Breaking

The Big Theory

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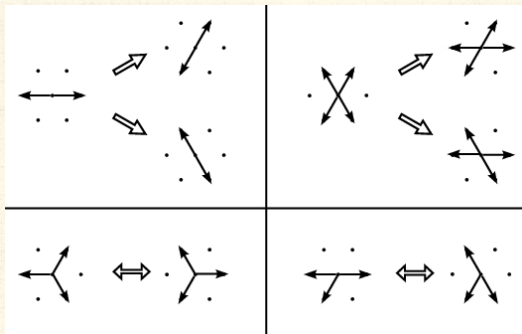
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Lattice matters ...

The PoCverse  
Why Complexify?  
9 of 37

Universality

Symmetry Breaking

The Big Theory

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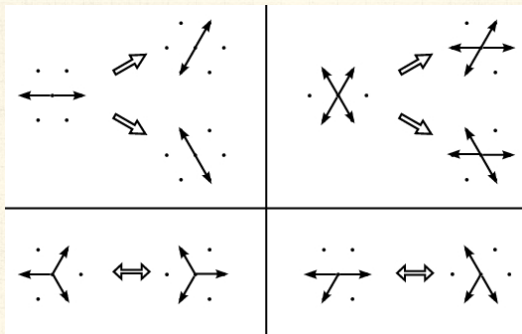
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consideration

References



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No 'good' lattice in 3-d.

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Why Complexify?  
9 of 37

Universality

Symmetry Breaking

The Big Theory

Midseason Finale

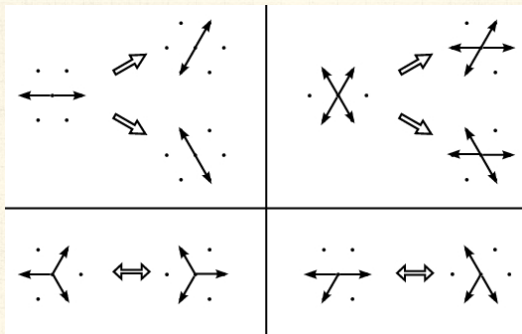
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# Lattice gas models

## Collision rules in 2-d on a hexagonal lattice:



Lattice matters ...



No 'good' lattice in 3-d.



Upshot: play with 'particles' of a system to obtain new or specific macro behaviours.

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Why Complexify?  
9 of 37

Universality

Symmetry Breaking

The Big Theory

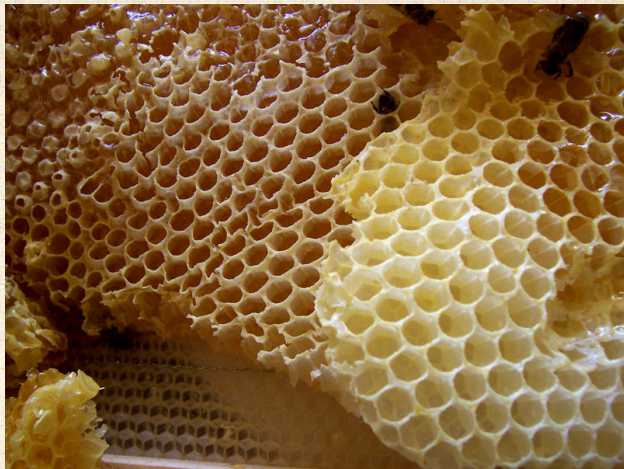
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# Hexagons—Honeycomb:



Orchestrated? Or an accident of bees working hard?

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Why Complexify?  
10 of 37

Universality

Symmetry Breaking

The Big Theory

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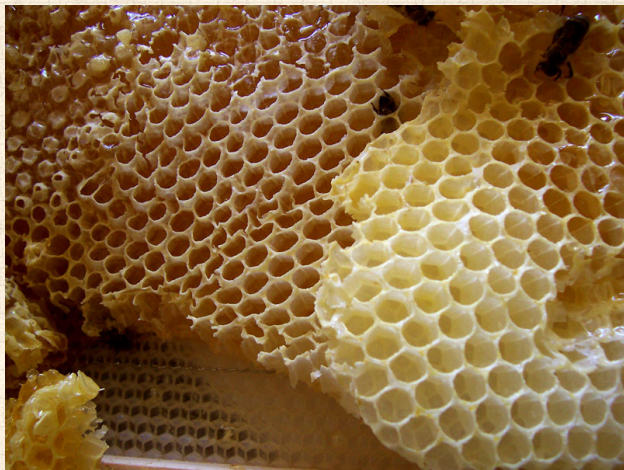
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References





# Hexagons—Honeycomb:



The PoCverse  
Why Complexify?  
10 of 37

Universality

Symmetry Breaking

The Big Theory

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consideration

References



Orchestrated? Or an accident of bees working hard?



See “On Growth and Form” by D’Arcy Wentworth  
Thompson  [7, 8]



# Hexagons—Giant's Causeway: ↗



The PoCverse  
Why Complexify?  
11 of 37

Universality

Symmetry Breaking

The Big Theory

Midseason Finale

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References

<http://newdesktopwallpapers.info>



# Hexagons—Giant's Causeway:



The PoCverse  
Why Complexity?  
12 of 37

Universality

Symmetry Breaking

The Big Theory

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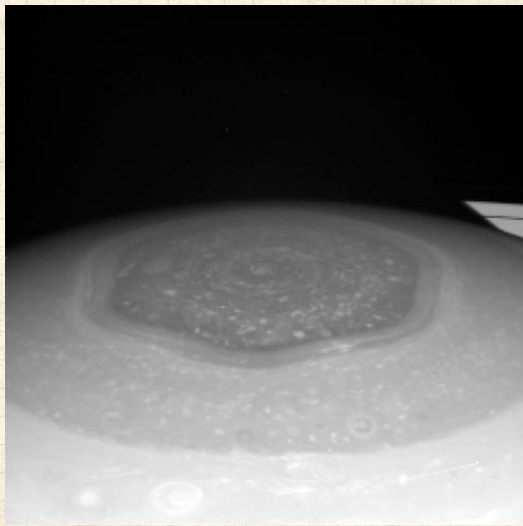
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<http://www.physics.utoronto.ca/>



# Saturn has a hexagon:



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Why Complexify?  
13 of 37

Universality

Symmetry Breaking

The Big Theory

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consideration

References

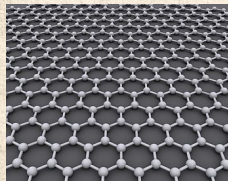



One side is longer than Earth's diameter [↗](#)






# Hexagons run amok:



Graphene : single layer of carbon molecules in a perfect hexagonal lattice (super strong).





Chicken wire  ...





“Number is the ruler  
of forms and ideas  
and the cause  
of gods and demons.”  
– Pythagoras

 From [Hexnet.org](http://Hexnet.org) , the (Legacy) Global Hexagonal Awareness Resource Center.


 Evolution: [Hexagon Truth](http://Hexagon Truth). 





# Symmetry Breaking



“More is different” 

P. W. Anderson,

Science, **177**, 393–396, 1972. <sup>[1]</sup>



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Why Complexify?  
17 of 37

Universality

Symmetry Breaking

The Big Theory

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consideration

References




# Symmetry Breaking



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Anderson  argues against idea that the only real scientists are those working on the fundamental laws.

The PoCverse  
Why Complexify?  
17 of 37

Universality

Symmetry Breaking

The Big Theory

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For your  
consideration

References





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The PoCverse  
Why Complexify?  
17 of 37

Universality

Symmetry Breaking


The Big Theory

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For your  
consideration



References




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 Symmetry breaking → different laws/rules at different scales ...





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The PoCverse  
Why Complexify?  
17 of 37

Universality

Symmetry Breaking

The Big Theory

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consideration


References




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 Symmetry breaking → different  
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2006 study: "most creative physicist in the world" ↗



# Symmetry Breaking

The PoCverse  
Why Complexify?  
18 of 37

Universality

Symmetry Breaking

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References

“Elementary entities of science X obey the laws of science Y”



X



Y



solid state or many-body  
physics



elementary particle  
physics



chemistry



solid state many-body  
physics



molecular biology



chemistry



cell biology



molecular biology

⋮

⋮



psychology



physiology



social sciences



psychology



# Symmetry Breaking

The PoCverse  
Why Complexify?  
19 of 37

Universality

Symmetry Breaking


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consideration

References

Anderson:

 [the more we know about] “fundamental laws, the less relevance they seem to have to the very real problems of the rest of science.”



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The PoCverse  
Why Complexify?  
19 of 37

Universality

Symmetry Breaking


The Big Theory


Midseason Finale

For your  
consideration

References

Anderson:

 [the more we know about] “fundamental laws, the less relevance they seem to have to the very real problems of the rest of science.”

 Scale and complexity thwart the constructionist hypothesis.



# Symmetry Breaking

The PoCverse  
Why Complexify?  
19 of 37

Universality

Symmetry Breaking





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consideration

References

Anderson:

-  [the more we know about] “fundamental laws, the less relevance they seem to have to the very real problems of the rest of science.”
-  Scale and complexity thwart the constructionist hypothesis.
-  Accidents of history and path dependence  matter.





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The PoCverse  
Why Complexify?  
20 of 37

Universality

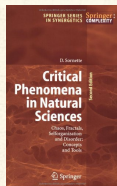
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“Critical Phenomena in Natural Sciences” [a](#) [↗](#)  
by Didier Sornette (2003). <sup>[5]</sup>



Page 291–292 of Sornette <sup>[6]</sup>:

Renormalization  $\equiv$  Anderson’s hierarchy.



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The PoCverse  
Why Complexify?  
20 of 37

Universality

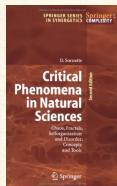
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References



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But Anderson’s hierarchy is not a simple one: the rules  
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The PoCverse  
Why Complexify?  
20 of 37

Universality

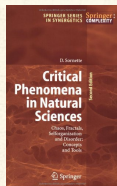
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References



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- 🧱 Page 291–292 of Sornette <sup>[6]</sup>:  
Renormalization  $\equiv$  Anderson’s hierarchy.
- 🧱 But Anderson’s hierarchy is not a simple one: the rules change.
- 🧱 Crucial dichotomy between evolving systems following stochastic paths that lead to  
(a) **inevitable** or (b) **particular** destinations (states).



# More is different:

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Why Complexify?  
21 of 37

Universality

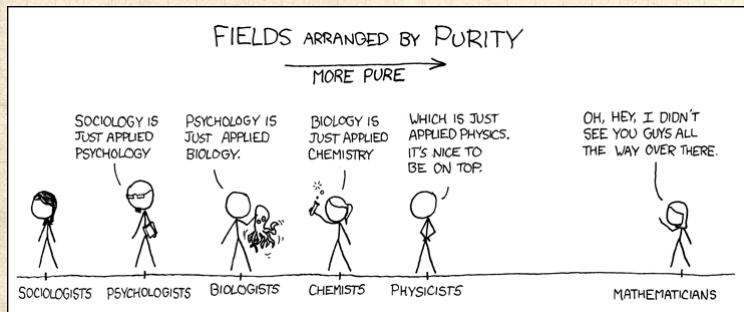
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References



<http://xkcd.com/435/>









A real science of complexity:

A real theory of ~~everything~~ anything:

The PoCSverse  
Why Complexify?  
23 of 37

Universality

Symmetry Breaking

The Big Theory

Midseason Finale

For your  
consideration

References



# A real science of complexity:

## A real theory of ~~everything~~ anything:

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The PoCSverse  
Why Complexify?  
23 of 37

Universality

Symmetry Breaking

The Big Theory

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For your  
consideration

References



# A real science of complexity:

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The PoCVerse  
Why Complexify?  
23 of 37

Universality

Symmetry Breaking

The Big Theory

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consideration

References



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The PoCSverse  
Why Complexify?  
23 of 37

Universality

Symmetry Breaking

The Big Theory

Midseason Finale

For your  
consideration

References



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vs.

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Second law of thermodynamics: we're toast soup in the long run.<sup>1</sup>

The PoCSverse  
Why Complexify?  
23 of 37

Universality

Symmetry Breaking

The Big Theory

Midseason Finale

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consideration

References





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Why Complexify?  
23 of 37

Universality

Symmetry Breaking

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References



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<sup>1</sup>But: Gravity. [9]

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 So how likely is the local complexification of structure we enjoy?

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Why Complexify?  
23 of 37

Universality

Symmetry Breaking

The Big Theory

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References



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 How likely are the Big Transitions?

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23 of 37

Universality

Symmetry Breaking

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References



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# Why complexify?



“Why do things become more complex?” 

W. Brian Arthur,

Scientific American, **268**, 92, 1993. [2]



Argues that evolution toward increased performance brings a ratcheting cycle of complexification and simplification.

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Why Complexify?  
24 of 37

Universality

Symmetry Breaking

The Big Theory

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consideration

References





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The PoCverse  
Why Complexify?  
24 of 37

Universality

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References



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Why Complexify?  
24 of 37

Universality

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References



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The PoCverse  
Why Complexify?  
24 of 37

Universality

Symmetry Breaking

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-  Differential equations and stories  $\subset$  Algorithms.








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-  Jet engine replaced the complex piston engine and then itself became more complex.
-  Complexification  $\equiv$  evolution of algorithms?
-  Differential equations and stories  $\subset$  Algorithms.
-  Life is a loaded word: The Search for Extraterrestrial Algorithms (SETA)?



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Why Complexify?  
25 of 37

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## Driving complexity's trajectory:

-  Big Bang
-  Randomness leads to replicating structures;
-  Biological evolution;
-  Sociocultural evolution;
-  Technological evolution;
-  Sociotechnological evolution.





## Freeman Dyson's of West's "Scale": [3]

[The Key to Everything \(nybooks.com\)](#) ↗

“The astronomer Fang Lizhi published with his wife, Li Shuxian, a popular book, *Creation of the Universe* (1989), **which includes the best explanation that I have seen of the paradox of order and disorder.**

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Why Complexify?  
26 of 37

Universality

Symmetry Breaking

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References





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The explanation lies in the peculiar behavior of gravity in the physical world. On the balance sheet of energy accounting, gravitational energy is a deficit.

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Why Complexify?  
26 of 37

Universality

Symmetry Breaking

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References



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“The astronomer Fang Lizhi published with his wife, Li Shuxian, a popular book, *Creation of the Universe* (1989), **which includes the best explanation that I have seen of the paradox of order and disorder.**

The explanation lies in the peculiar behavior of gravity in the physical world. On the balance sheet of energy accounting, gravitational energy is a deficit.

When you are close to a massive object, your gravitational energy is minus the amount of energy it would take to get away from the mass all the way to infinity.

The PoCverse  
Why Complexify?  
26 of 37

Universality

Symmetry Breaking

The Big Theory

Midseason Finale

For your  
consideration

References



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When you walk up a hill on the earth, your gravitational energy is becoming less negative, but never gets up to zero.

Any object whose motions are dominated by gravity will have energy decreasing as temperature increases and energy increasing as temperature decreases.”

The PoCverse  
Why Complexify?  
26 of 37

Universality

Symmetry Breaking

The Big Theory

Midseason Finale

For your  
consideration

References





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“As a consequence of the second law of thermodynamics, when energy flows from one such object to another, the hot object will grow hotter and the cold object will grow colder.

That is why the sun grew hotter and the planets grew cooler as the solar system evolved.





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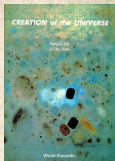
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Note: Unfortunately, Dyson takes the (disastrously wrong) biological scaling stuff as being sorted.







# “Creation of the Universe” by Zhi and Xian (1989).<sup>[9]</sup>

84

Creation of the Universe

The whole of thermodynamics starts from the existence of thermal equilibrium. For systems in which gravitation plays a decisive role, that sort of thermal equilibrium does not in fact exist. Such systems cannot be in a state of thermodynamic equilibrium, nor in some fixed state differing slightly from equilibrium, rather, they are in unstable states. It is not surprising that certain deductions in thermodynamics do not apply to such states.

## Formation of Structures

Let us look at another instructive example for cosmology.

If, in a container of gas, the distribution of the gas molecules is not uniform and has structures (as in Fig. 6.6(a)), then the direction of its evolution is for the distribution to become uniform and structureless (as in Fig. 6.6(b)). This is to say, the mode of evolution decided by the Second Law of Thermodynamics is

structured  $\longrightarrow$  structureless  
non-uniform  $\longrightarrow$  uniform .

If the effect of gravitation among the gas molecules in this box of gas cannot be completely neglected, what will be the result? Suppose the distribution of the gas molecules is uniform at the beginning (as in Fig. 6.6(c)). When there is no gravitation, this is the equilibrium state; when there is gravitation, this equilibrium state becomes unstable. As soon as some local region acquires a slightly higher density through fluctuation, its gravitation becomes stronger, attracting more matter, and forming an even greater density. Likewise, if the density in some region is slightly lowered by fluctuation, its gravitation is weakened and more matter will escape, forming a still lower density. In short, a small fluctuation will completely destroy the homogeneous state (see Fig. 6.6(c) & (d)). We therefore see that, in systems with strong gravitation, the direction of evolution is

structureless  $\longrightarrow$  structured  
uniform  $\longrightarrow$  non-uniform .

Throughout the universe, gravitation is dominant. Therefore, even if the initial universe is uniform and structureless, it will spontaneously generate a non-uniform and structured state. Clusters of galaxies of various scales owe their formation to this process of inhomogeneity.

At this point, we can answer the question posed at the beginning of this chapter as follows.

85

How Order was Born of Chaos

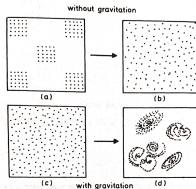


Fig. 6.6. In a system without gravitation, the evolution of the distribution of matter is from non-uniform (a) to uniform (b); in a system with gravitational interaction, the evolution is from uniform (c) to non-uniform (d).

Why is the world getting more complicated? Because there is gravitation.  
Why does the simple change into the complex? Because there is gravitation.

Why does chaos become order? Because there is gravitation.  
Out of thermal equilibrium, how can thermal nonequilibrium be generated? Again because there is gravitation.

Of course, in addition to gravitation, the universe has to contain different forms of matter like radiation and particles, in order for the above mechanism to operate. In the next chapter, we shall prove that the universe does indeed have the radiation we expect.

The PoCVerse  
Why Complexify?  
28 of 37

Universality

Symmetry Breaking

The Big Theory

Midseason Finale

For your  
consideration

References



# Complexification—the Big Transitions:

The PoCverse  
Why Complexify?  
29 of 37

Universality

Symmetry Breaking

The Big Theory

Midseason Finale

For your  
consideration

References



Big Bang.



# Complexification—the Big Transitions:

The PoCverse  
Why Complexify?  
29 of 37

Universality


Symmetry Breaking


The Big Theory

Midseason Finale

For your  
consideration


References


 Big Bang.


 Big  
Randomness.



# Complexification—the Big Transitions:

 Big Bang.

 Big  
Randomness.

 Big Structure.

The PoCverse  
Why Complexify?  
29 of 37

Universality

Symmetry Breaking

The Big Theory

Midseason Finale

For your  
consideration

References





# Complexification—the Big Transitions:

The PoCverse  
Why Complexify?  
29 of 37

Universality


Symmetry Breaking


The Big Theory


Midseason Finale


For your  
consideration

References

 Big Bang.

 Big  
Randomness.

 Big Structure.

 Big Replicate.



# Complexification—the Big Transitions:

The PoCverse  
Why Complexify?  
29 of 37

Universality


Symmetry Breaking


The Big Theory


Midseason Finale


For your  
consideration


References

 Big Bang.

 Big  
Randomness.

 Big Structure.

 Big Replicate.

 Big Life.



# Complexification—the Big Transitions:

The PoCverse  
Why Complexify?  
29 of 37

Universality


Symmetry Breaking


The Big Theory


Midseason Finale


For your  
consideration


References


 Big Bang.

 Big  
Randomness.

 Big Structure.

 Big Replicate.

 Big Life.

 Big Evolve.



# Complexification—the Big Transitions:

The PoCSverse  
Why Complexify?  
29 of 37

Universality


Symmetry Breaking


The Big Theory


Midseason Finale


For your  
consideration


References


 Big Bang.


 Big  
Randomness.

 Big Structure.

 Big Replicate.

 Big Life.







 Big Evolve.


 Big Word.






# Complexification—the Big Transitions:

-  Big Bang.
-  Big  
Randomness.
-  Big Structure.
-  Big Replicate.
-  Big Life.
-  Big Evolve.

 Big Word.

 Big Story.

The PoCverse  
Why Complexify?  
29 of 37

Universality

Symmetry Breaking

The Big Theory







Midseason Finale




For your  
consideration

References



# Complexification—the Big Transitions:

-  Big Bang.
-  Big Randomness.
-  Big Structure.
-  Big Replicate.
-  Big Life.
-  Big Evolve.

-  Big Word.
-  Big Story.
-  Big Number.

The PoCSverse  
Why Complexify?  
29 of 37

Universality

Symmetry Breaking

The Big Theory







Midseason Finale





For your  
consideration

References



# Complexification—the Big Transitions:

-  Big Bang.
-  Big Randomness.
-  Big Structure.
-  Big Replicate.
-  Big Life.
-  Big Evolve.

-  Big Word.
-  Big Story.
-  Big Number.
-  Big Farm.

The PoCSverse  
Why Complexify?  
29 of 37

Universality

Symmetry Breaking

The Big Theory

Midseason Finale

For your  
consideration

References



# Complexification—the Big Transitions:

The PoCverse  
Why Complexify?  
29 of 37

Universality







Symmetry Breaking






The Big Theory

Midseason Finale

For your  
consideration

References

 Big Bang.  
 Big  
Randomness.  
 Big Structure.  
 Big Replicate.  
 Big Life.  
 Big Evolve.

 Big Word.  
 Big Story.  
 Big Number.  
 Big Farm.  
 Big God.





# Complexification—the Big Transitions:

The PoCverse  
Why Complexify?  
29 of 37

Universality







Symmetry Breaking







The Big Theory

Midseason Finale

For your  
consideration

References

 Big Bang.  
 Big  
Randomness.  
 Big Structure.  
 Big Replicate.  
 Big Life.  
 Big Evolve.

 Big Word.  
 Big Story.  
 Big Number.  
 Big Farm.  
 Big God.  
 Big Make.



# Complexification—the Big Transitions:

The PoCSverse  
Why Complexify?  
29 of 37

Universality







Symmetry Breaking








The Big Theory

Midseason Finale

For your  
consideration

References

 Big Bang.  
 Big  
Randomness.  
 Big Structure.  
 Big Replicate.  
 Big Life.  
 Big Evolve.

 Big Word.  
 Big Story.  
 Big Number.  
 Big Farm.  
 Big God.  
 Big Make.  
 Big City.



# Complexification—the Big Transitions:

The PoCverse  
Why Complexify?  
29 of 37

Universality







Symmetry Breaking









The Big Theory

Midseason Finale

For your  
consideration

References

 Big Bang.  
 Big  
Randomness.  
 Big Structure.  
 Big Replicate.  
 Big Life.  
 Big Evolve.

 Big Word.  
 Big Story.  
 Big Number.  
 Big Farm.  
 Big God.  
 Big Make.  
 Big City.  
 Big Culture.



# Complexification—the Big Transitions:

The PoCSverse  
Why Complexify?  
29 of 37

Universality







Symmetry Breaking









The Big Theory


Midseason Finale

For your  
consideration

References

 Big Bang.  
 Big  
Randomness.  
 Big Structure.  
 Big Replicate.  
 Big Life.  
 Big Evolve.

 Big Word.  
 Big Story.  
 Big Number.  
 Big Farm.  
 Big God.  
 Big Make.  
 Big City.  
 Big Culture.

 Big Science.





# Complexification—the Big Transitions:

The PoCverse  
Why Complexify?  
29 of 37

Universality







Symmetry Breaking









The Big Theory



Midseason Finale

For your  
consideration

References

 Big Bang.  
 Big  
Randomness.  
 Big Structure.  
 Big Replicate.  
 Big Life.  
 Big Evolve.

 Big Word.  
 Big Story.  
 Big Number.  
 Big Farm.  
 Big God.  
 Big Make.  
 Big City.  
 Big Culture.

 Big Science.  
 Big Data.



# Complexification—the Big Transitions:

The PoCverse  
Why Complexify?  
29 of 37

Universality







Symmetry Breaking









The Big Theory




Midseason Finale

For your  
consideration

References

 Big Bang.  
 Big  
Randomness.  
 Big Structure.  
 Big Replicate.  
 Big Life.  
 Big Evolve.

 Big Word.  
 Big Story.  
 Big Number.  
 Big Farm.  
 Big God.  
 Big Make.  
 Big City.  
 Big Culture.

 Big Science.  
 Big Data.  
 Big Information.



# Complexification—the Big Transitions:

The PoCverse  
Why Complexify?  
29 of 37

Universality







Symmetry Breaking









The Big Theory





Midseason Finale

For your  
consideration

References

 Big Bang.  
 Big  
Randomness.  
 Big Structure.  
 Big Replicate.  
 Big Life.  
 Big Evolve.

 Big Word.  
 Big Story.  
 Big Number.  
 Big Farm.  
 Big God.  
 Big Make.  
 Big City.  
 Big Culture.

 Big Science.  
 Big Data.  
 Big Information.  
 Big Algorithm.



# Complexification—the Big Transitions:

The PoCverse  
Why Complexify?  
29 of 37

Universality







Symmetry Breaking









The Big Theory






Midseason Finale

For your  
consideration

References

 Big Bang.  
 Big  
Randomness.  
 Big Structure.  
 Big Replicate.  
 Big Life.  
 Big Evolve.

 Big Word.  
 Big Story.  
 Big Number.  
 Big Farm.  
 Big God.  
 Big Make.  
 Big City.  
 Big Culture.

 Big Science.  
 Big Data.  
 Big Information.  
 Big Algorithm.  
 Big Connection.





# Complexification—the Big Transitions:

The PoCverse  
Why Complexify?  
29 of 37

Universality







Symmetry Breaking









The Big Theory







Midseason Finale

For your  
consideration

References

 Big Bang.  
 Big  
Randomness.  
 Big Structure.  
 Big Replicate.  
 Big Life.  
 Big Evolve.

 Big Word.  
 Big Story.  
 Big Number.  
 Big Farm.  
 Big God.  
 Big Make.  
 Big City.  
 Big Culture.

 Big Science.  
 Big Data.  
 Big Information.  
 Big Algorithm.  
 Big Connection.  
 Big Social.



# Complexification—the Big Transitions:

The PoCverse  
Why Complexify?  
29 of 37

Universality







Symmetry Breaking









The Big Theory

Midseason Finale

For your  
consideration

References

 Big Bang.  
 Big  
Randomness.  
 Big Structure.  
 Big Replicate.  
 Big Life.  
 Big Evolve.

 Big Word.  
 Big Story.  
 Big Number.  
 Big Farm.  
 Big God.  
 Big Make.  
 Big City.  
 Big Culture.

 Big Science.  
 Big Data.  
 Big Information.  
 Big Algorithm.  
 Big Connection.  
 Big Social.  
 Big Awareness.



# Complexification—the Big Transitions:

The PoCverse  
Why Complexify?  
29 of 37

Universality







Symmetry Breaking









The Big Theory

Midseason Finale

For your  
consideration

References

 Big Bang.  
 Big  
Randomness.  
 Big Structure.  
 Big Replicate.  
 Big Life.  
 Big Evolve.

 Big Word.  
 Big Story.  
 Big Number.  
 Big Farm.  
 Big God.  
 Big Make.  
 Big City.  
 Big Culture.

 Big Science.  
 Big Data.  
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 Big Social.  
 Big Awareness.  
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# Complexification—the Big Transitions:

The PoCverse  
Why Complexify?  
29 of 37

Universality







Symmetry Breaking









The Big Theory

Midseason Finale

For your  
consideration

References

 Big Bang.  
 Big  
Randomness.  
 Big Structure.  
 Big Replicate.  
 Big Life.  
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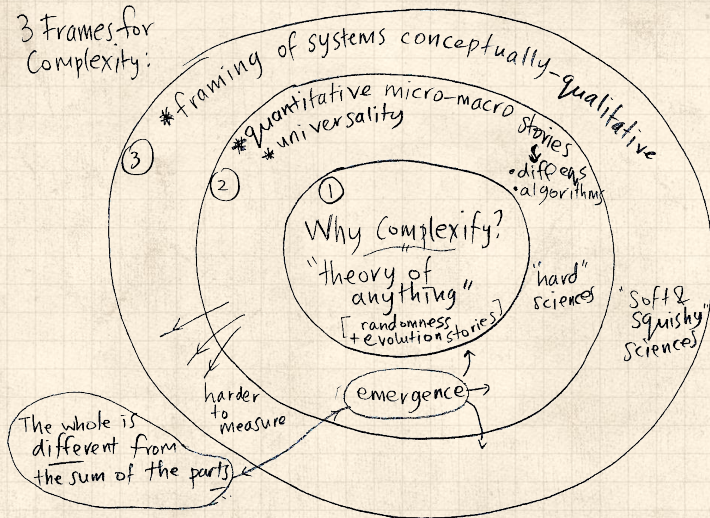
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 Big ...?





3 Frames for  
Complexity:





# The absolute basics:

Modern basic science in three steps:

The PoCSverse  
Why Complexify?  
32 of 37

Universality

Symmetry Breaking

The Big Theory

Midseason Finale

For your  
consideration

References



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The PoCSverse  
Why Complexify?  
32 of 37

Universality

Symmetry Breaking

The Big Theory

Midseason Finale

For your  
consideration

References





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The PoCSverse  
Why Complexify?  
32 of 37

Universality

Symmetry Breaking

The Big Theory

Midseason Finale

For your  
consideration

References



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The PoCSverse  
Why Complexify?  
32 of 37

Universality

Symmetry Breaking

The Big Theory

Midseason Finale

For your  
consideration

References



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The PoCverse  
Why Complexify?  
32 of 37

Universality

Symmetry Breaking

The Big Theory

Midseason Finale

For your  
consideration

References



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And be good people: Share.

The PoCverse  
Why Complexify?  
32 of 37

Universality

Symmetry Breaking

The Big Theory

Midseason Finale

For your  
consideration

References





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The PoCverse  
Why Complexify?  
32 of 37

Universality

Symmetry Breaking

The Big Theory

-----  
Midseason Finale

For your  
consideration

References



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Taste matters. Develop taste in research.

**Beware your assumptions:** Don't use tools/models because they're there, or because everyone else does ...



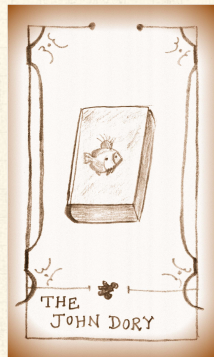
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## Principles of Complex Systems, Vol. 2

Storyology

Episode VI:

PoCS with ewoks



The PoCverse  
Why Complexify?  
33 of 37

Universality

Symmetry Breaking

The Big Theory

Midseason Finale

For your  
consideration

References



# This is also part of a thing that could be next:

The PoCverse  
Why Complexify?  
33 of 37

Universality

Symmetry Breaking

The Big Theory

Midseason Finale

For your  
consideration

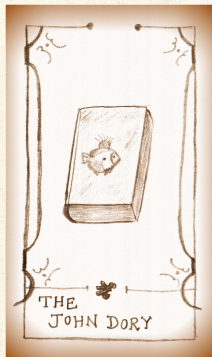
References

## Principles of Complex Systems, Vol. 2

Storyology

Episode VI:

PoCS with ewoks



- Exploring texts of all kinds, centrality of stories.
- News, social media, fiction, Twitter.
- Dark arts of text parsing, cleaning, regular expression.
- Measuring happiness and sadness through text.
- Measuring and understanding cultural evolution through texts: legal and government texts, music lyrics, news.
- Structure, dynamics, and evolution of stories.
- Possible expansion to other storytelling realms: Music, images, audio, video, sports, games.





Universality

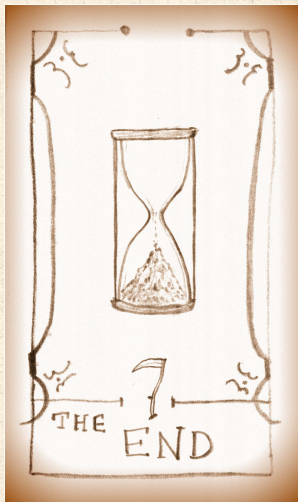
Symmetry Breaking

The Big Theory

Midseason Finale

For your  
consideration

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The PoCverse  
Why Complexify?  
37 of 37

Universality

Symmetry Breaking

The Big Theory

Midseason Finale

For your  
consideration

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