

# The Scaling of the Numbers of Types and the Numbers of Things

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Principles of Complex Systems,  
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Prof. Peter Sheridan Dodds

Computational Story Lab | Vermont Complex Systems Institute  
University of Vermont | Santa Fe Institute



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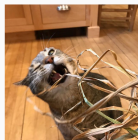
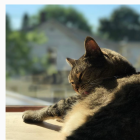
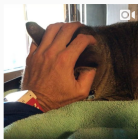
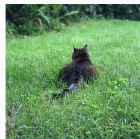
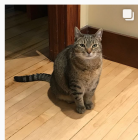
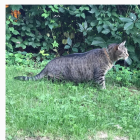
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






# A key framing from language:


## Types and Tokens:


 In linguistics, words are described on the two levels of types and tokens  <sup>[4]</sup>.

 In semiotics, signs can be thought of having two components of the signified and the signifier .

## Example:


 Types are 1-grams , e.g., ‘!’, ‘the’, ‘love’, and ‘spork’.<sup>1</sup>

 Tokens are 1-grams as written down.

 In “Pride and Prejudice”, for example, there are 498 ‘!’s, 4,058 ‘the’s, 90 ‘love’s, and 0 ‘spork’s.



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<sup>1</sup>Linguists have a long history of not agreeing on what a word is .

## Beyond language:

Lift out and expand the type-token framing to complex systems in general.

## Three Four possible parts:

1. **Type:** A kind or class of category of individual things based on shared characteristics.
2. **Thing:** An individual manifestation of a type.
3. **Measure:** A quantification of the manifestation of things.
4. **Experience:** An interaction of any kind with a manifestation of a type.<sup>2</sup>



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<sup>2</sup>Fame.

## Language:

1. Type: A defined word.
2. Thing (token): An instance of spoken or printed word.
3. Number or Frequency (counts of tokens).
4. Experience: Listening to others, reading a book.

## Atoms:





1. Type: Atom
2. Thing: Element (stuff made of a given atom; e.g., gold)
3. Measure: Mass; could be Number.
4. Experience: Atomic bonds.



## Water:

1. Type: Water molecule,  $H^2O$ .
2. Thing: Water.
3. Measure: Volume (liters, gallons); given pressure and temperature, equivalent to Number (counts of molecules) and then Mass.
4. Experience: Rain.








## Biology:

-  Example type: The species *Ornithorhynchus anatinus*, the platypus.
-  Thing: Any given platypus.
-  Measure: The number of platypuses ('instances' of the species) living in Australia in the wild.
-  Experience: Seeing a platypus in the wild; being hunted by a platypus.








## Moneyspace:

-  Example type: Corporation.
  -  Things: The publicly traded companies of Apple and Microsoft.
  -  Measure: Market capitalization.
  -  Experience: Being sued by Microsoft.
- 
-  Apple and Microsoft may be viewed as components of the publicly-owned corporate world.
  -  The sizes of corporations may be broken down into many rankable dimensions such as annual revenue or number of employees worldwide.
  -  In principle, market capitalization represents a kind of current collective belief in terms of money.






## Sizes and Rankings:

-  We will often consider systems where each component type  $\tau$  has at least one measurable—and hence rankable—‘size’  $s_\tau$ .
-  Perceived size is a combination of Measure (what exists) and Experience (what is measured).
-  Important: We may also have rankings where we do not know the underlying ‘size’ (e.g., book/thing sales on Amazon).



## Three examples which show some of the range of what ‘size’ can mean:






1. Size for a word in a corpus means the number of indistinguishable instances of that word (many identical entites—tokens);
2. Size for species means the number of ‘biological replications’ of an individual type (many genetically similar entities of varying ages); and
3. Size for a corporation might mean monetary value (market cap, one entity).
4. May have more than one measure of a system:
  -  Total biomass of a species.<sup>3</sup>
  -  Number of employees in a corporation.
  -  Number of stars in a galaxy.<sup>3</sup>
5. Measure of size allows for rankings.
6. Again, sizes may be hidden.







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<sup>3</sup>Somewhat hard to estimate.

## When tokens are fungible:

-  Randomly permute all of the words (tokens) of the same type in Pride and Prejudice.
-  Measure and Experience will be unchanged.
-  NFTs: Non-fungible tokens.
-  Tricking people into thinking tokens are types.
-  “The Oxymoron for Morons.”

## When tokens are funguses:

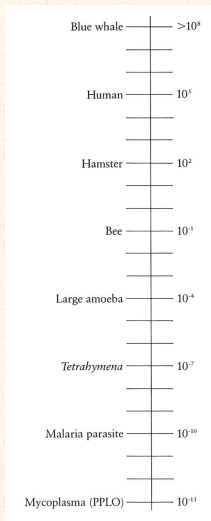
-  NFF: Non-fungible fungus (from a sentient fungus’s point of view).
-  But in cooking, funguses are fungible.
-  Lack of exposure  leads to fungibility of “the other.”<sup>4</sup>



<sup>4</sup>Universal: Identical twins look the same until they don't.

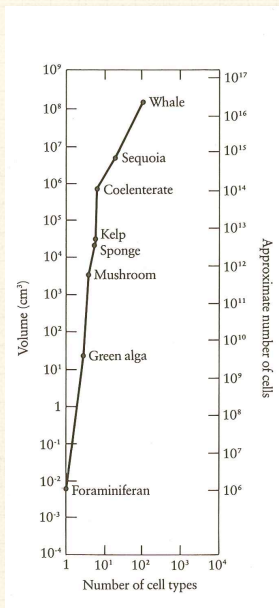


# From scaling: Size range (in grams) and cell differentiation:



$10^{-13}$  to  $10^8$  g, p. 3,

McMahon and Bonner [3]



# Scaling of Specialization:



## “Scaling of Differentiation in Networks: Nervous Systems, Organisms, Ant Colonies, Ecosystems, Businesses, Universities, Cities, Electronic Circuits, and Legos”

Changizi, McDannald, and Widders,  
J. Theor. Biol, **218**, 215–237, 2002. <sup>[1]</sup>

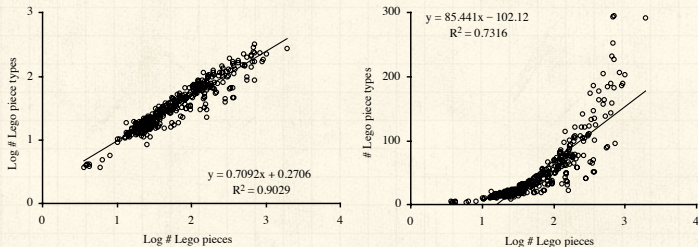


FIG. 3. Log-log (base 10) (left) and semi-log (right) plots of the number of Lego piece types vs. the total number of parts in Lego structures ( $n = 391$ ). To help to distinguish the data points, logarithmic values were perturbed by adding a random number in the interval  $[-0.05, 0.05]$ , and non-logarithmic values were perturbed by adding a random number in the interval  $[-1, 1]$ .



# Independent observation:

$$C \sim N^{1/d}, d \geq 1:$$








-   $C$  = network differentiation = # node types.
-   $N$  = network size = # nodes.
-   $d$  = combinatorial degree.
-  Low  $d$ : strongly specialized parts.
-  High  $d$ : strongly combinatorial in nature, parts are reused.
-  Claim: Natural selection produces high  $d$  systems.
-  Claim: Engineering/brains produces low  $d$  systems.



TABLE 1  
Summary of results\*

Network	Node	No. data points	Range of log $N$	Log-log $R^2$	Semi-log $R^2$	$p_{\text{power}}/p_{\text{log}}$	Relationship between $C$ and $N$	Comb. degree	Exponent $v$ for type-net scaling	Figure in text
<i>Selected networks</i>										
Electronic circuits	Component	373	2.12	0.747	0.602	0.05/4e-5	Power law	2.29	0.92	2
Legos <sup>sm</sup>	Piece	391	2.65	0.903	0.732	0.09/1e-7	Power law	1.41	—	3
Businesses										
military vessels	Employee	13	1.88	0.971	0.832	0.05/3e-3	Power law	1.60	—	4
military offices	Employee	8	1.59	0.964	0.789	0.16/0.16	Increasing	1.13	—	4
universities	Employee	9	1.55	0.786	0.749	0.27/0.27	Increasing	1.37	—	4
insurance co.	Employee	52	2.30	0.748	0.685	0.11/0.10	Increasing	3.04	—	4
Universities										
across schools	Faculty	112	2.72	0.695	0.549	0.09/0.01	Power law	1.81	—	5
history of Duke	Faculty	46	0.94	0.921	0.892	0.09/0.05	Increasing	2.07	—	5
Ant colonies										
caste = type	Ant	46	6.00	0.481	0.454	0.11/0.04	Power law	8.16	—	6
size range = type	Ant	22	5.24	0.658	0.548	0.17/0.04	Power law	8.00	—	6
Organisms	Cell	134	12.40	0.249	0.165	0.08/0.02	Power law	17.73	—	7
Neocortex	Neuron	10	0.85	0.520	0.584	0.16/0.16	Increasing	4.56	—	9
<i>Competitive networks</i>										
Biotas	Organism	—	—	—	—	—	Power law	$\approx 3$	0.3 to 1.0	—
Cities	Business	82	2.44	0.985	0.832	0.08/8e-8	Power law	1.56	—	10

\* (1) The kind of network, (2) what the nodes are within that kind of network, (3) the number of data points, (4) the logarithmic range of network sizes  $N$  (i.e.  $\log(N_{\text{max}}/N_{\text{min}})$ ), (5) the log-log correlation, (6) the semi-log correlation, (7) the serial-dependence probabilities under, respectively, power-law and logarithmic models, (8) the empirically determined best-fit relationship between differentiation  $C$  and organization size  $N$  (if one of the two models can be refuted with  $p < 0.05$ ; otherwise we just write “increasing” to denote that neither model can be rejected), (9) the combinatorial degree (i.e. the inverse of the best-fit slope of a log-log plot of  $C$  versus  $N$ ), (10) the scaling exponent for how quickly the edge-degree  $\delta$  scales with type-network size  $C$  (in those places for which data exist), (11) figure in this text where the plots are presented. Values for biotas represent the broad trend from the literature.












# Language:

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-  The naturally-incorrectly-attributed<sup>5</sup> Heaps' Law 
-  For words:  $N_{\text{types}} \sim N_{\text{tokens}}^{\beta}$  where  $0 \leq \beta \leq 1$ .
-  Applies when reading through a sufficiently large coherent text (e.g., a book)
-  Applies when sampling from a fixed power-law size distribution
-  Misapplies when reading through sequential texts.
-  Implied by this PoCS generated paper:



“Text mixing shapes the anatomy of rank-frequency distributions” 

Williams et al.,


Physical Review E, **91**, 052811, 2015. <sup>[5]</sup>



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<sup>5</sup>Plus one for Stigler's Law of Eponymy. 

# The type-thing scaling law:


 Most generally:  $N_{\text{types}} \sim N_{\text{things}}^{\beta}$  where  $0 < \beta \leq 1$ .

$$t = \sum_{r=1}^{N_{t,\alpha}} S_{r,t,\alpha} \simeq N_{t,\alpha}^{\alpha} \int_{z=1}^{N_{t,\alpha}} z^{-\alpha} dz \sim \frac{N_{t,\alpha}^{\alpha}}{1-\alpha} [N_{t,\alpha}^{1-\alpha} - 1], \quad (1)$$



# Type-token scaling for finite systems:



“Zipf’s Law leads to Heaps’ Law: Analyzing their relation in finite-size systems” 

Lü, Zhang, and Zhou,  
PLOS ONE, 5, 1–11, 2010. <sup>[2]</sup>

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In a somewhat complicated way which we will fix up, Lü et al. determine that given a size-rank distribution:

$$S_r \sim r^{-\alpha} \quad (2)$$

for  $r = 1, 2, \dots, N_{\text{types}}$  then

$$N_{\text{things}} \simeq \frac{N_{t,\alpha}^{\alpha}}{1-\alpha} [N_{t,\alpha}^{1-\alpha} - 1] . \quad (3)$$

Insert assignment question 



Given:



$$N_{\text{things}} \simeq \frac{N_{t,\alpha}^\alpha}{1-\alpha} [N_{t,\alpha}^{1-\alpha} - 1], \quad (4)$$

then

$$N_{\text{types}} \rightarrow \begin{cases} N_{\text{types}} & \text{for } \alpha = 0, \\ (1-\alpha)N_{\text{types}} & \text{for } 0 < \alpha \ll 1, \\ e^{W(N_{\text{types}})} \sim \frac{N_{\text{types}}}{\ln N_{\text{types}}} & \text{for } \alpha = 1, \\ (\alpha N_{\text{types}})^{1/\alpha} & \text{for } \alpha \gg 1, \\ 1 & \text{for } \alpha \rightarrow \infty, \end{cases} \quad (5)$$

where  $W$  is the Lambert<sup>6</sup>  $W$  function.



<sup>6</sup>There can be only one  multivalued Lambert function .



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